



# POLITECNICO DI BARI

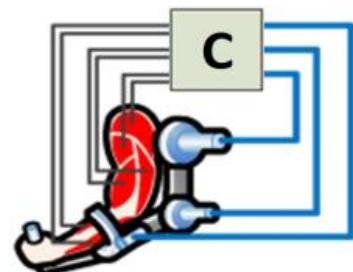
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e dell'Informazione

## Upper Limb Exoskeleton Myoelectric Control

Towards continuous EMG-based control: the role of  
Neuromusculoskeletal models

(A Genetic Algorithm application for Bio-engineering)

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Prof. Vitoantonio Bevilacqua



# **Electromyographic Signal (EMG)**



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# ELECTROMYOGRAPHY (EMG)

*“Electromyography (EMG) is an electrodiagnostic medicine technique for evaluating and recording the electrical activity produced by skeletal muscles”*

## INTRAMUSCULAR EMG

## SURFACE EMG (SEMG)

### Applications

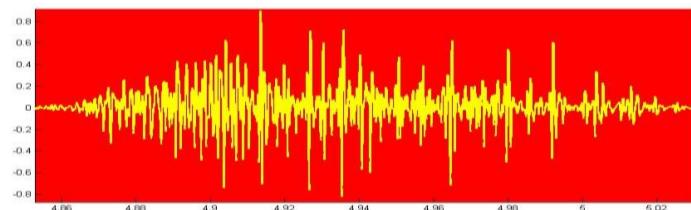
- Diagnostic
- Control of external device
- Investigation on muscular fatigue
- Motion analysis
- EMG-based Biofeedback

### Contro:

- Hard to recognize deep muscle
- It is not possible to detect the contribution of a single Motor Unit

### Pro:

- NON-INVASIVE
- Simultaneous acquisition of several muscles activities
- Potential maps



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# APPLICATIONS

## Medical Research

- Orthopedic
- Surgery
- Functional Neurology
- Gait & Posture Analysis

## Rehabilitation

- Post surgery/accident
- Neurological Rehabilitation
- Physical Therapy
- Active Training Therapy

## Ergonomics

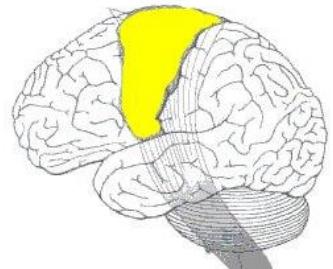
- Analysis of demand
- Risk Prevention
- Ergonomics Design
- Product Certification

## Sports Science

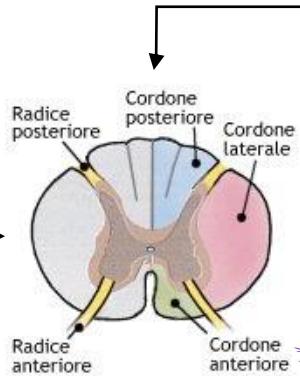
- Biomechanics
- Movement Analysis
- Athletes Strength Training
- Sports Rehabilitation



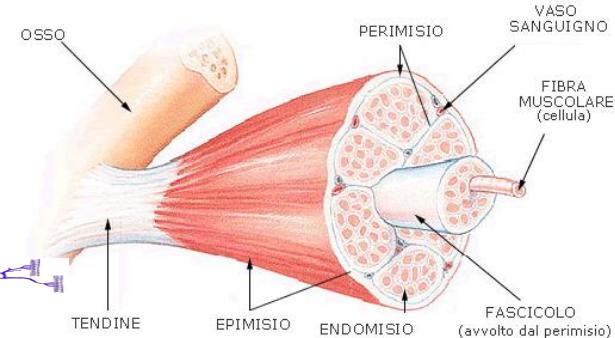
# EMG SIGNAL: BIOLOGICAL BACKGROUND



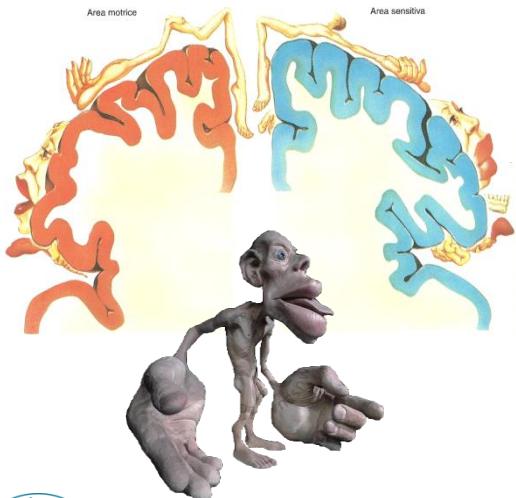
MOTOR CORTEX



SPINAL CORD



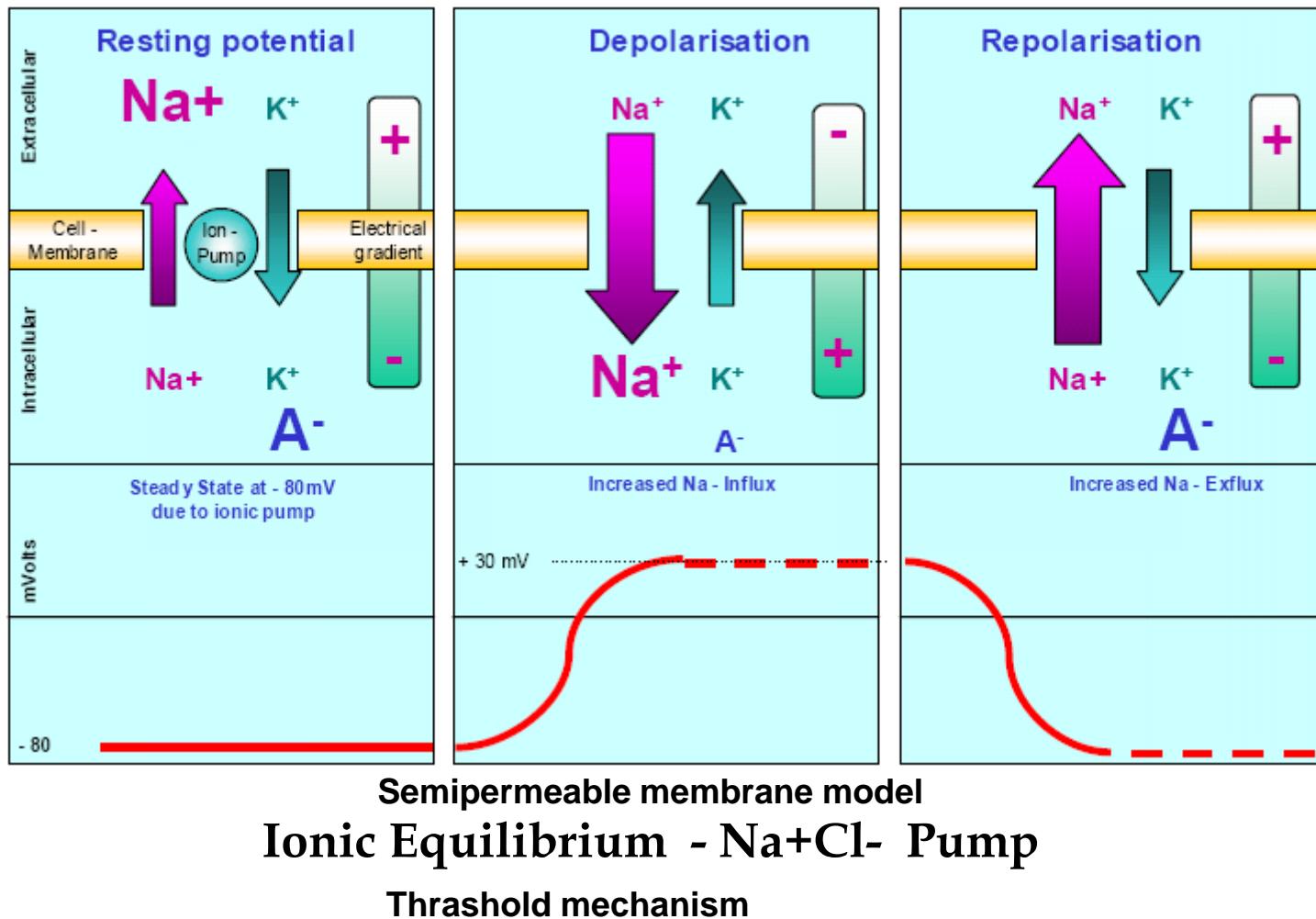
SCHELETRIC MUSCLE



**MOTOR UNIT:**  
Motoneuron (body, axons..) + Innervated Muscular fibers

Groups of motor units often work together to coordinate the contractions of a single muscle; all of the motor units within a muscle are considered a motor pool.

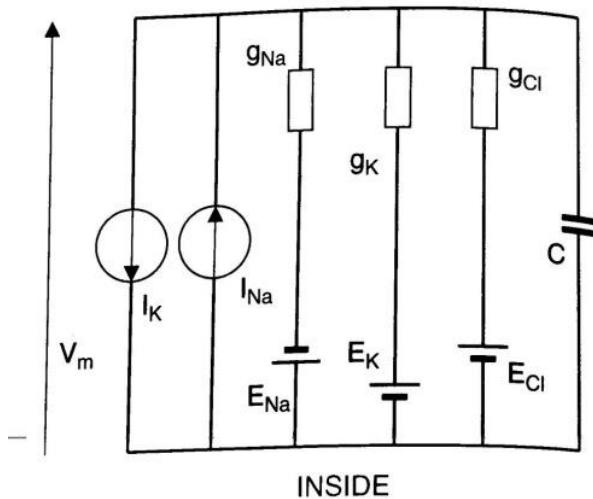
# Muscle fibre excitability



# Muscle fibre excitability

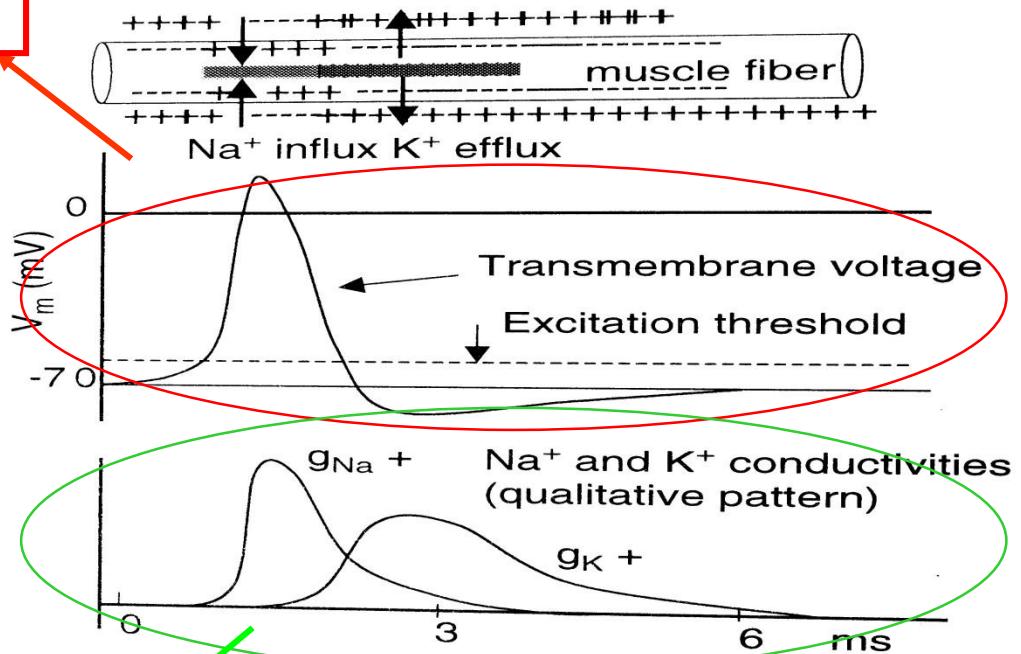
## ACTION POTENTIAL

OUTSIDE



INSIDE

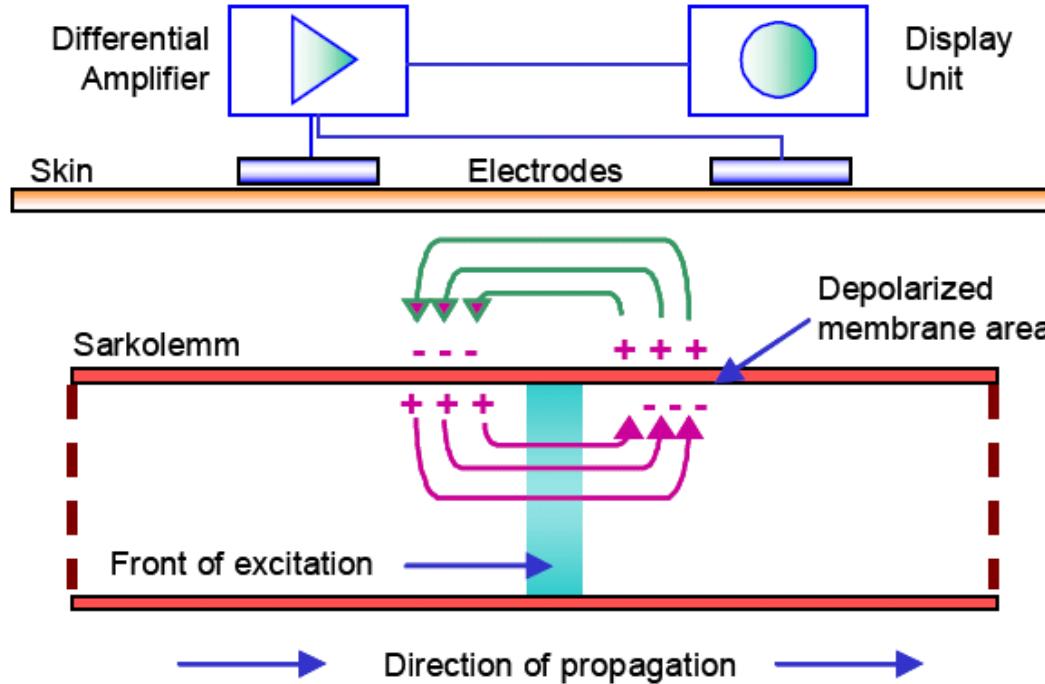
Modello di Hodgkin & Huxley



## DYNAMICAL BEHAVIOUR OF THE MEMBRANE



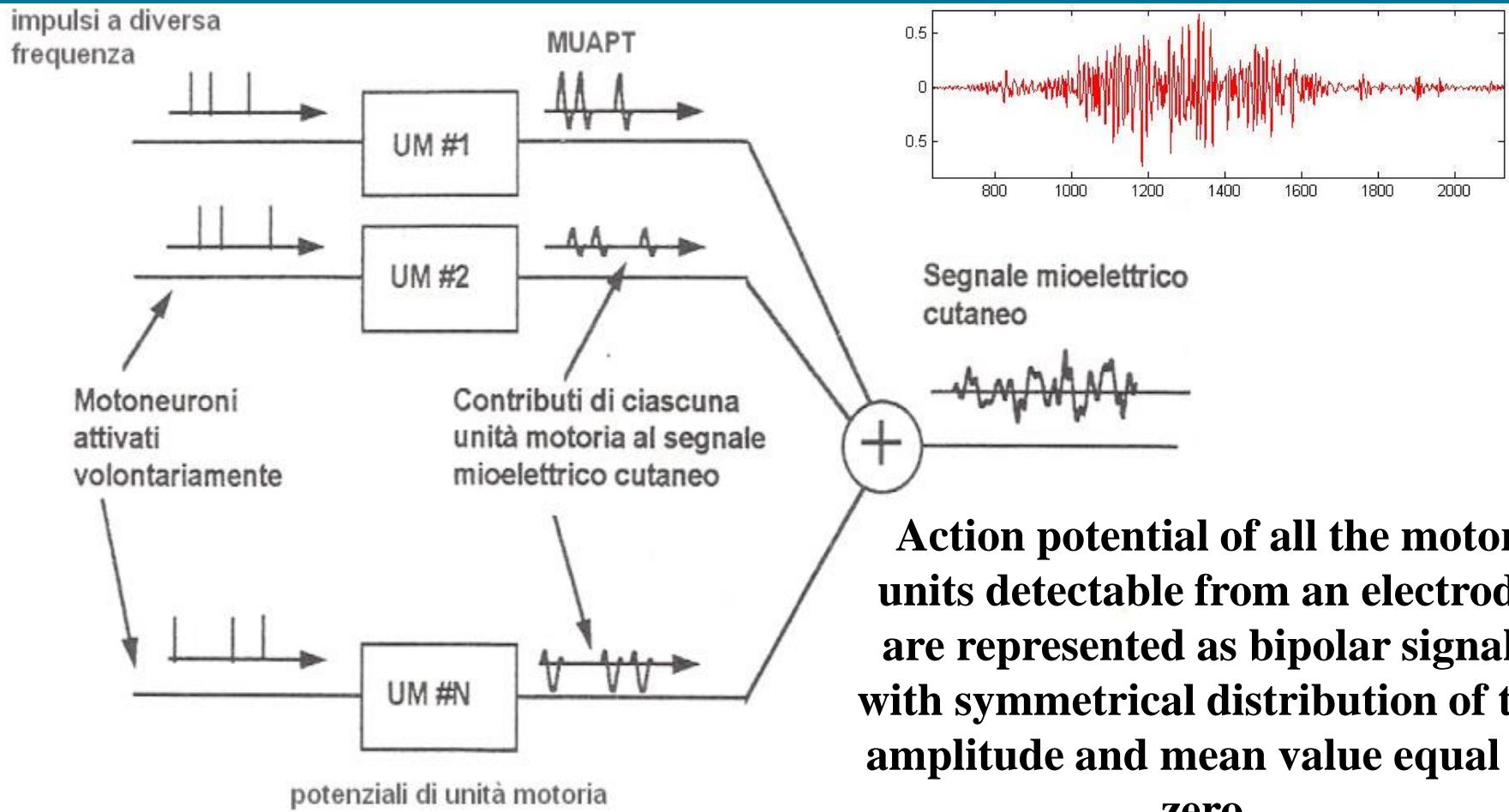
# PROPAGATION OF THE ACTION POTENTIAL



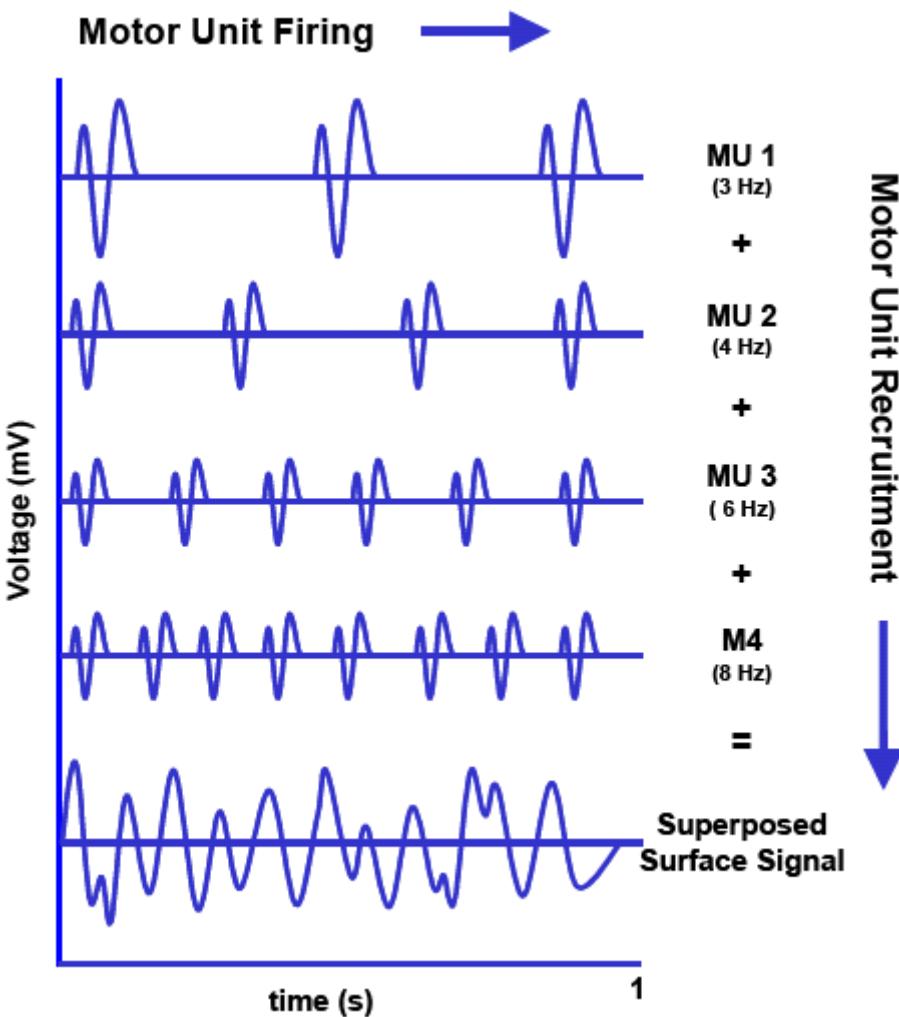
The depolarization zone (1-3 mm) travel along the muscular fiber with a speed of 2-6 m/s



# INTERFERENCE PATTERN



# EMG SIGNAL GENERATION



EMG SIGNAL DEPENDS ON:

✓ **POTENTIAL ACTION  
OF INDIVIDUAL  
MOTOR UNIT (MUAPs)**

✓ **FIRING RATE**

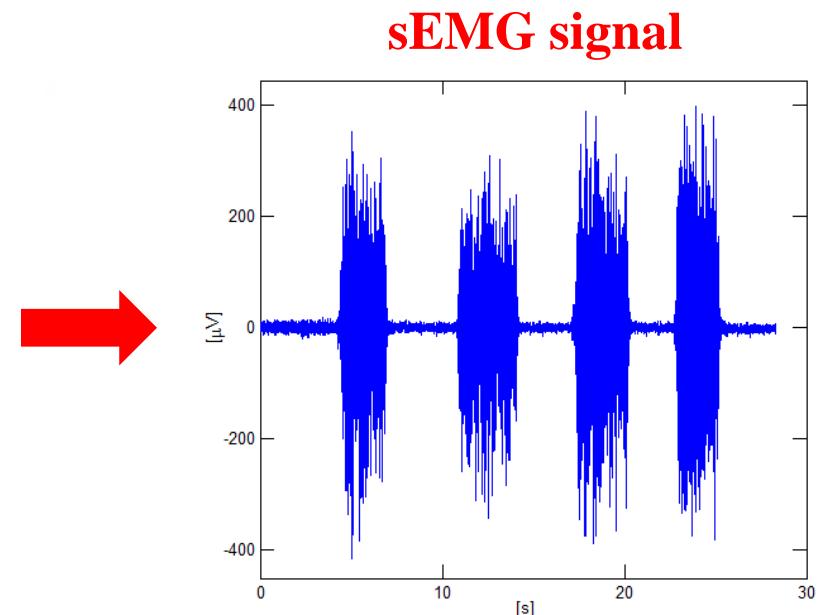
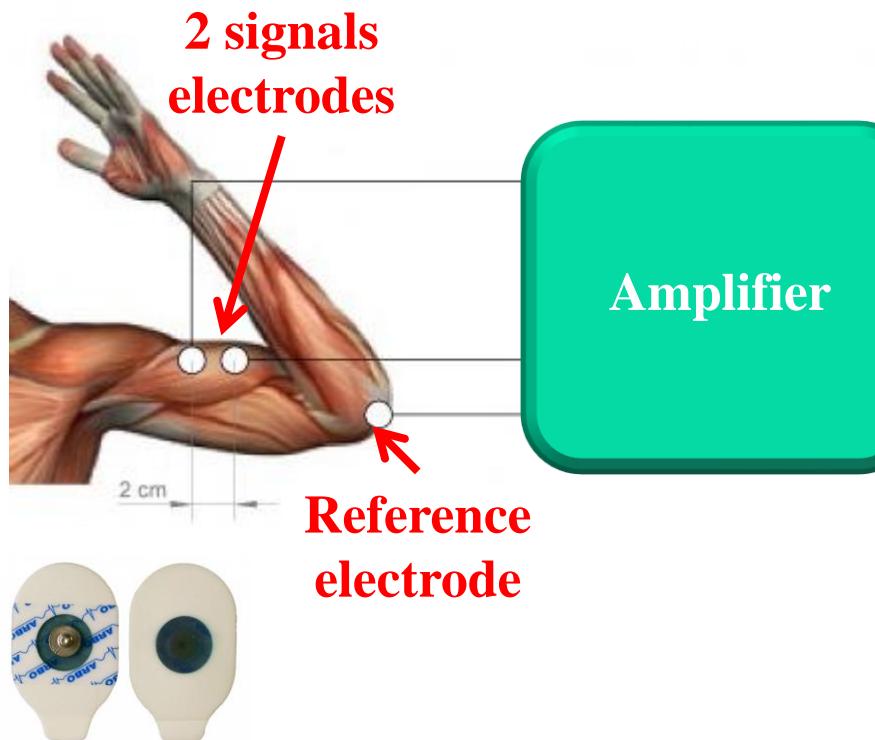
FEATURES:

- AMPLITUDE:  $10\mu\text{V} \sim 2\text{mV}$
- FREQ BAND:  $10\text{Hz} \sim 400\text{Hz}$



# Surface ElectroMyoGraphy (sEMG)

It is a non-invasive technique for measuring electrical activity of muscles.  
It makes use of electrodes placed on the skin.



**Adhesive electrodes**



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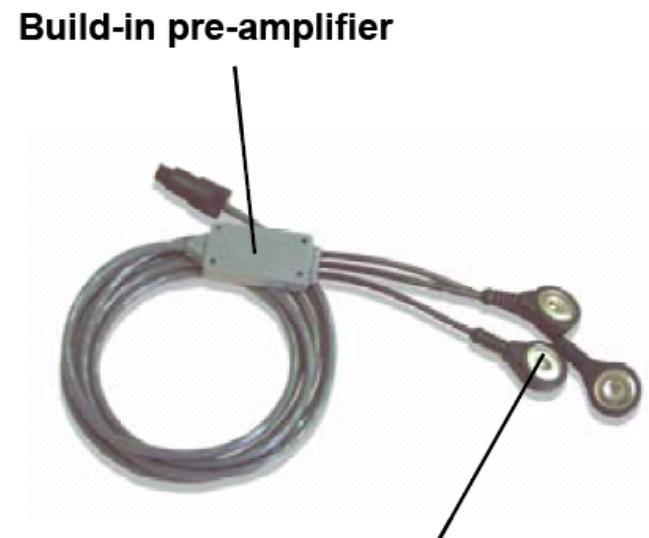
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# EMG AMPLIFIERS

Using Differential amplifier it is possible to reduce artifacts

Pre-amplifiers:  
Embedded in the EMG wires

EMG signal is generally amplified  
with a gain of 500 - 1000

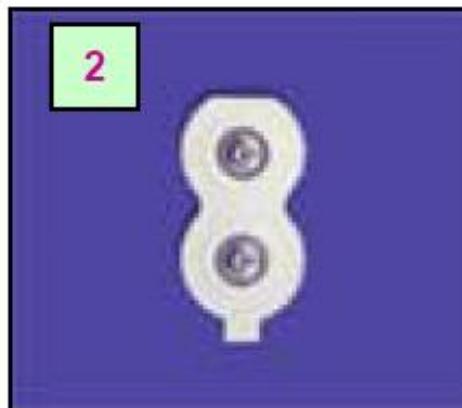
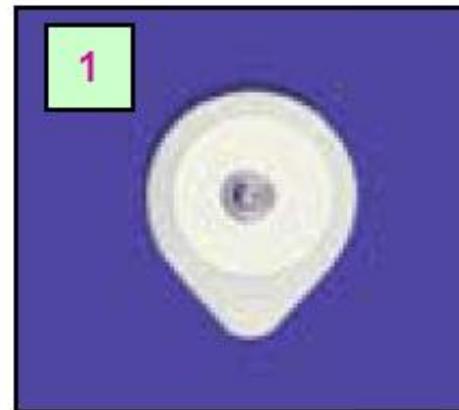


# ELECTRODES

Surface electrodes (Ag/AgCl)

Circular Conductive zone with a diameter of 1cm

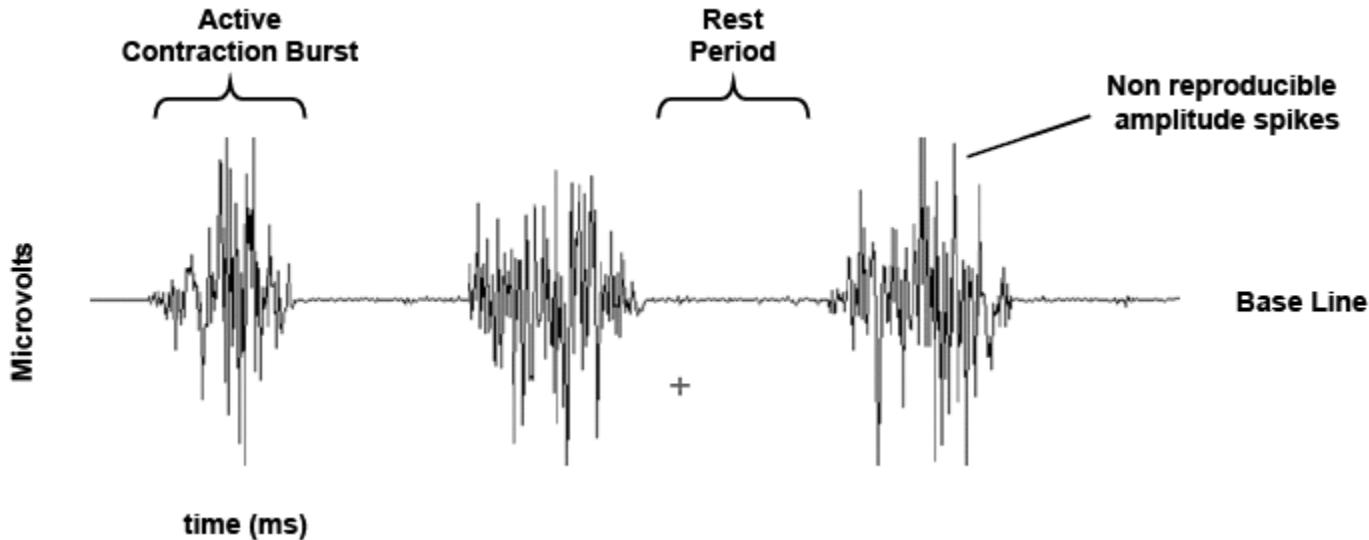
Commercial electrodes are often «pregelled», that means they are featured with a thin layer of gel for a better conduction obtained by adapting the impedance between the skin and the conductive zone



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# “Raw” EMG



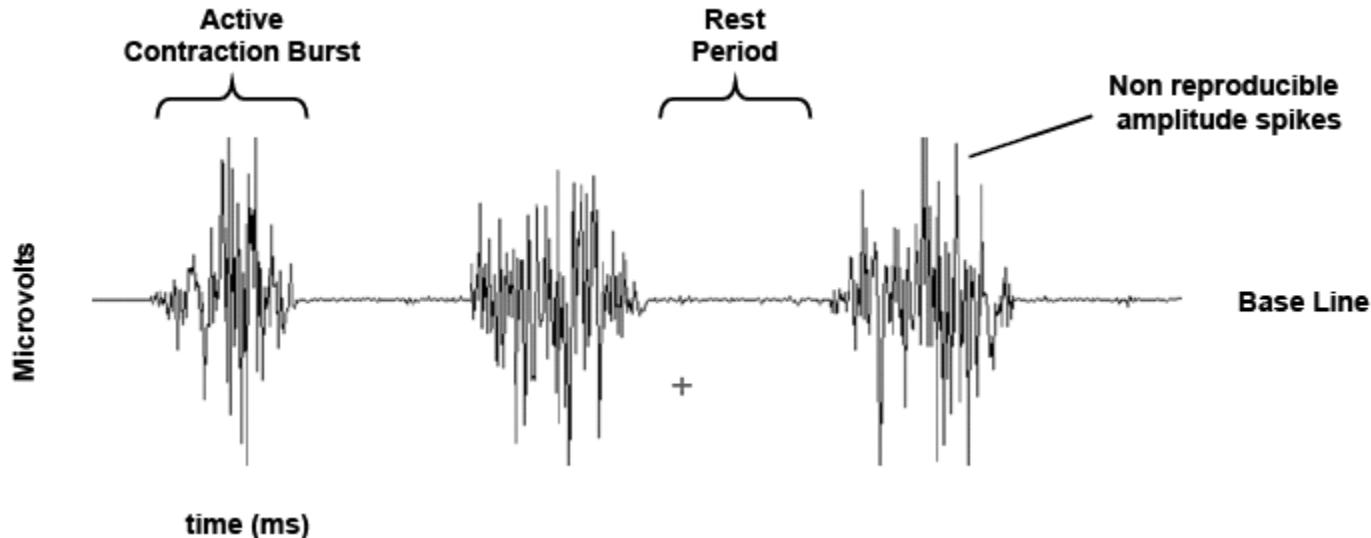
Raw signal recorded from Brachii biceps during three consecutive contractions



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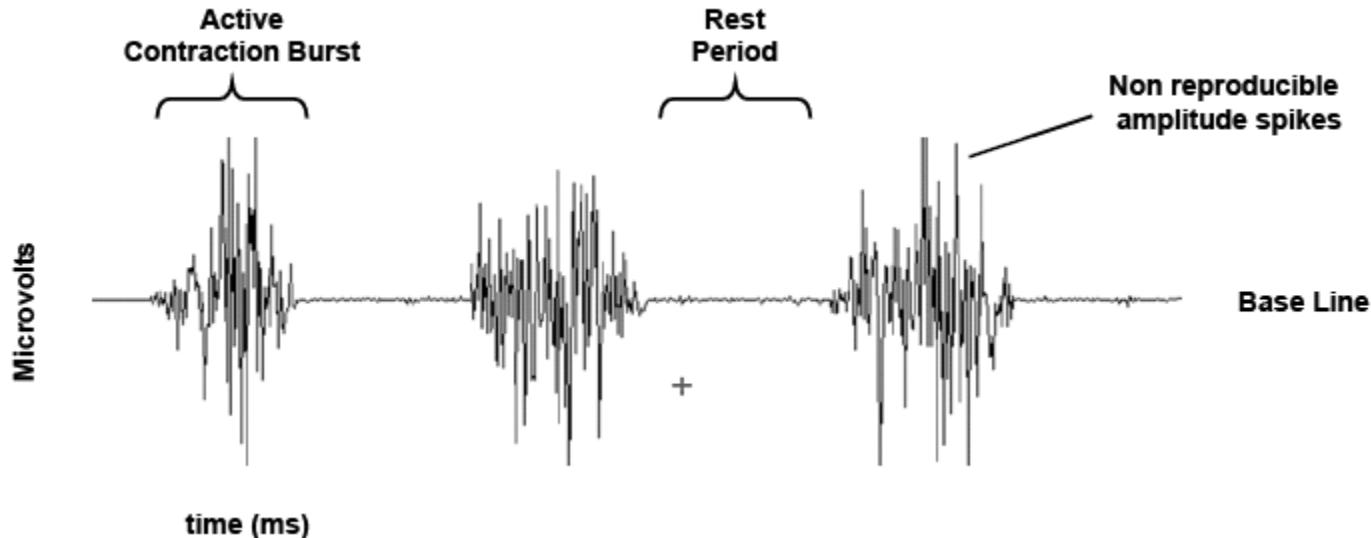
# “Raw” EMG



## Baseline Noise:

- *Instrumentation Quality*
- *External noise*
- *Ambient condition*

# “Raw” EMG



## Some EMG values:

- *Baseline Noise: 3-5 microvolt (good condition)*
- *Amplitude: +/- 5000 microvolt (athletes)*
- *Frequency: 6-500 Hz*



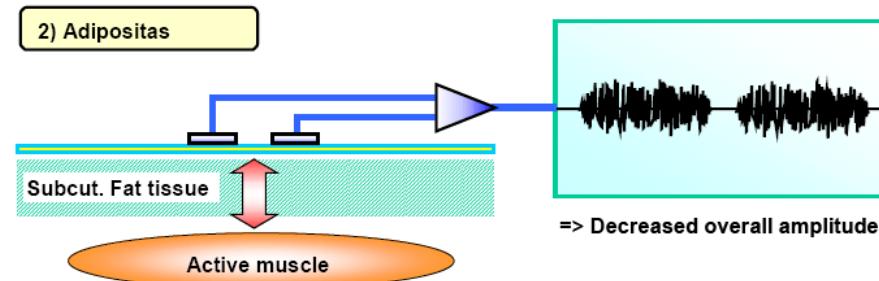
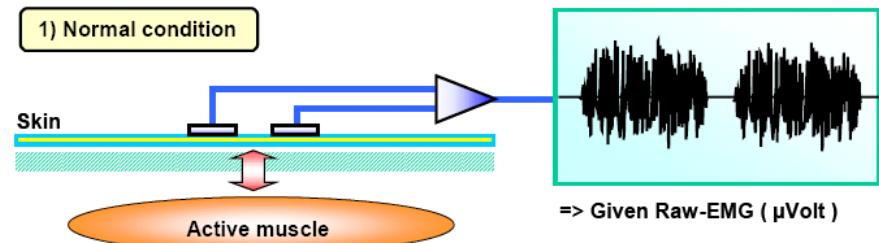
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# Factors influencing the EMG signals

## Tissue Features:

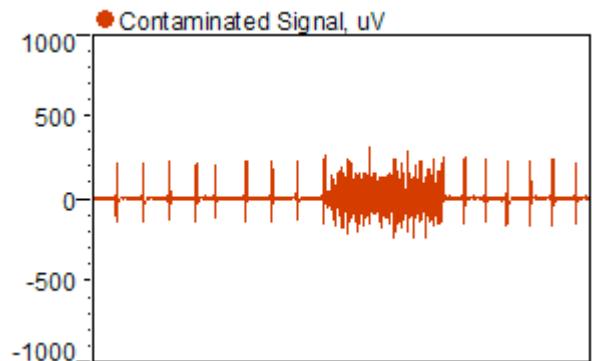
- *Type of tissue*
- *Thickness*
- *Temperature*
- *Sweat*



# Factors influencing the EMG signals

- **PHYSIOLOGICAL CROSS TALK :**

The Cross Talk Phenomenon could happen also between the electrical activities of two neighboring muscles



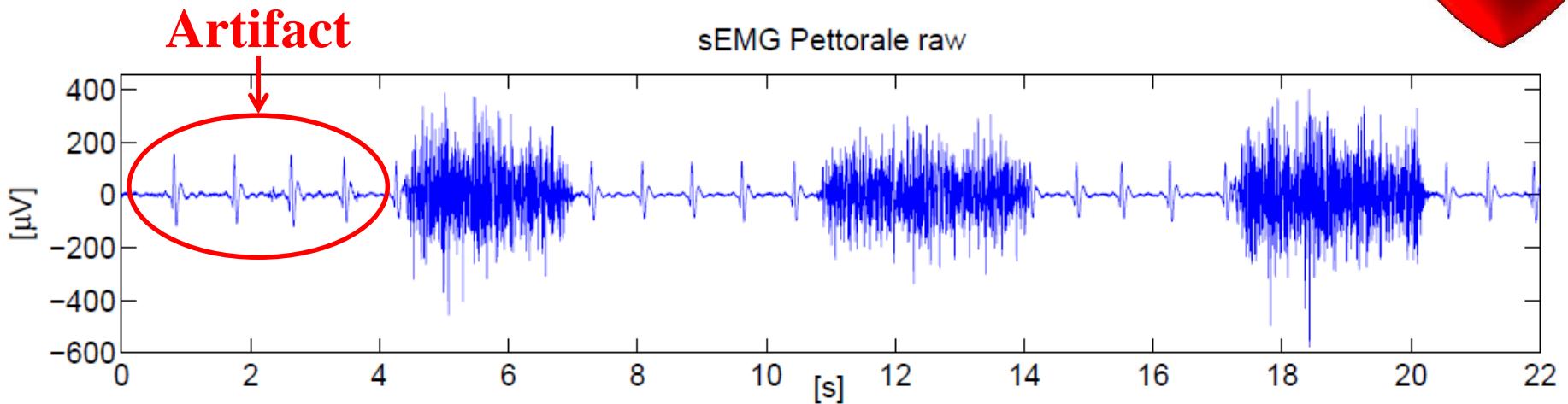
- **ELECTRODES MOVEMENTS**
- **EXTERNAL NOISE**
- **QUALITY OF THE INSTRUMENTS (electrodes, amplifier...)**



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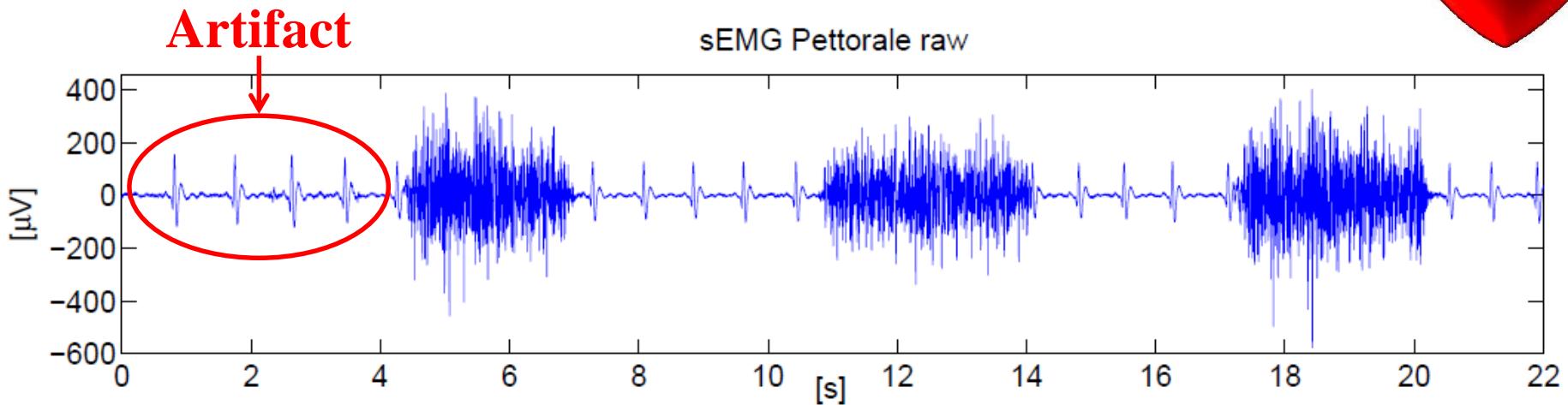
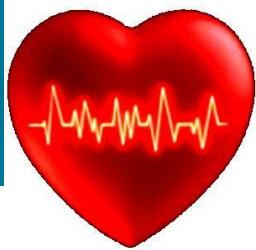
# Heart Artifact



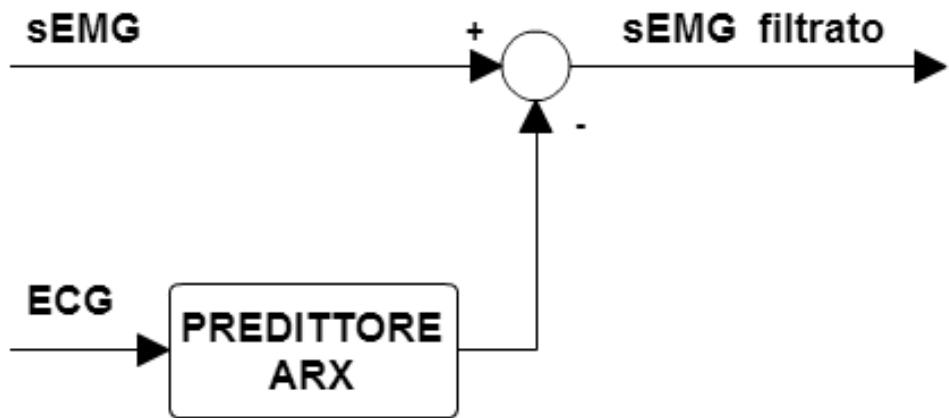
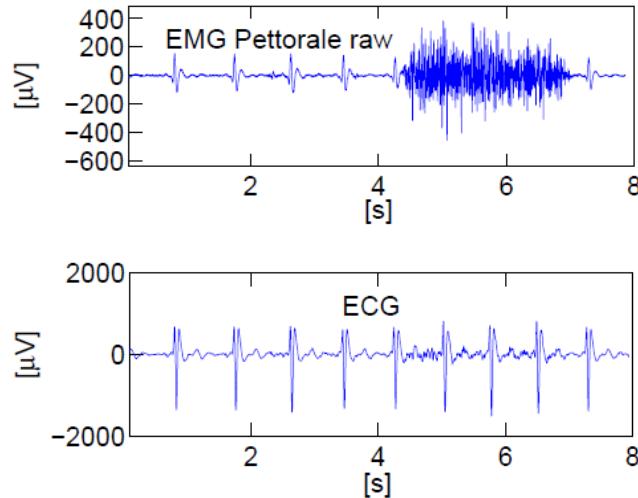
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# Heart Artifact



## Artifact Removal

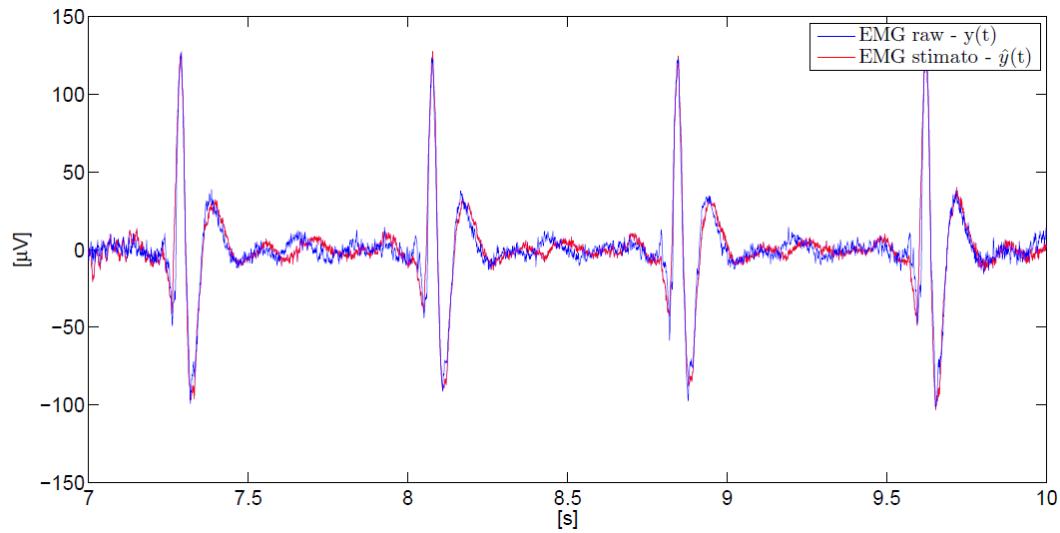
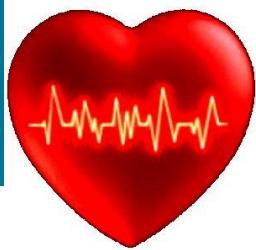


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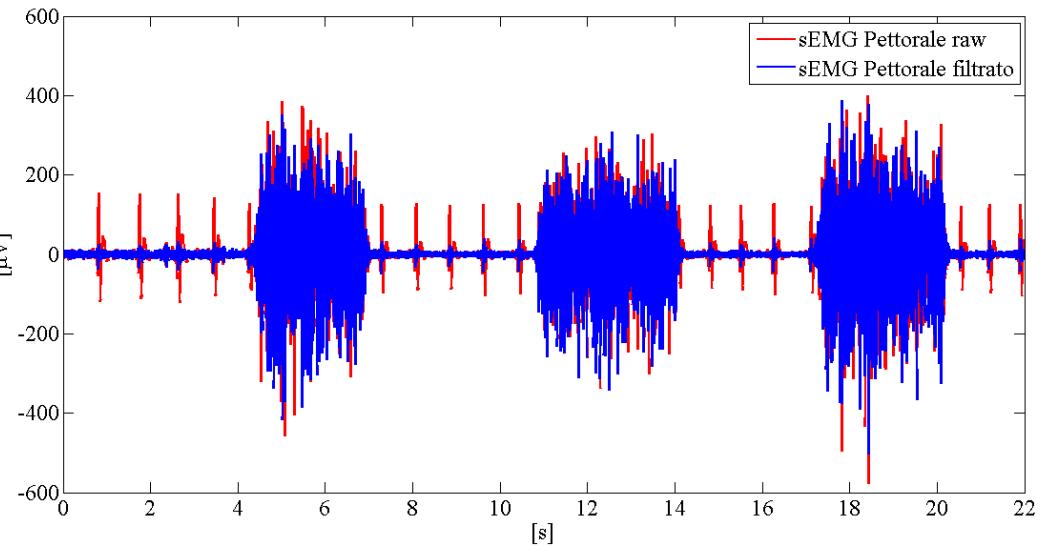


# Heart Artifact

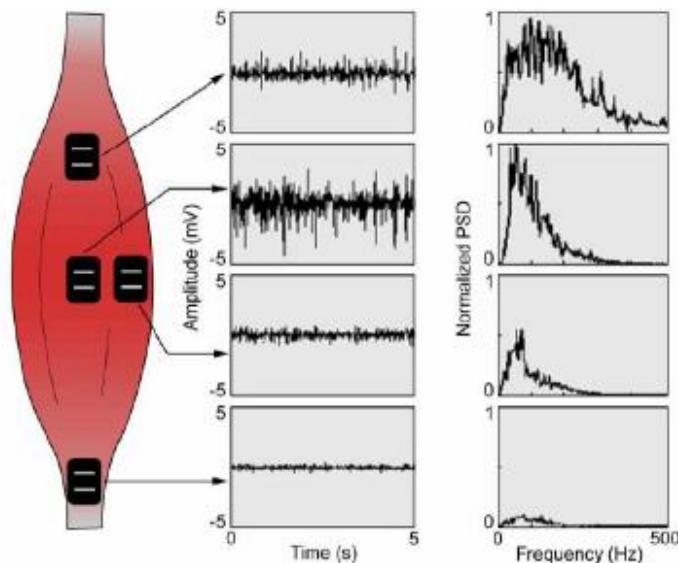


Artifact  
Estimation

EMG signal  
without  
artifact



# ELECTRODES PLACEMENT



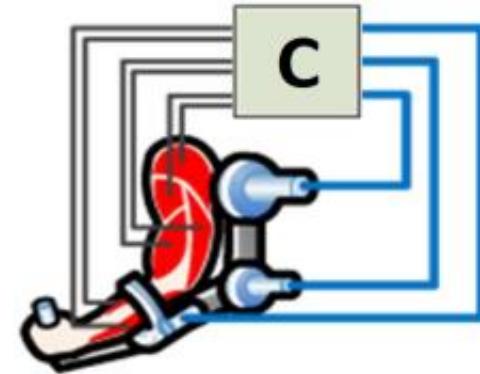
**BIPOLAR CONFIGURATION:**  
two electrodes placed on the belly of the muscle

The **GROUND ELECTRODE** is used for removing the overall potential of the body and it is not «interested» on the muscle electrical activity



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# Neuro-Rehabilitation after stroke



# STROKE

- **Stroke** is a medical condition in which poor blood flow to the brain results in cell death.
- There are two main types of stroke:
  - Ischemic: due to lack of blood flow
  - hemorrhagic, due to bleeding.
- It results in part of the brain not functioning properly:
  - inability to move or feel on one side of the body
  - problems understanding or speaking
  - loss of vision to one side



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# STROKE

- Neuro-Rehabilitation is needed:

## Traditional Rehabilitation

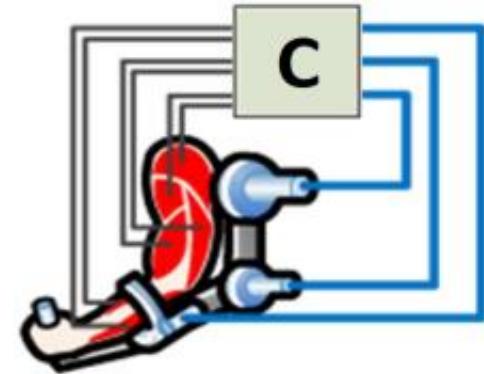


## Robot-aided Rehabilitation



High-dosage and high-intensity training  
High repeatability  
Objective assessment  
More engaging with Serious Games



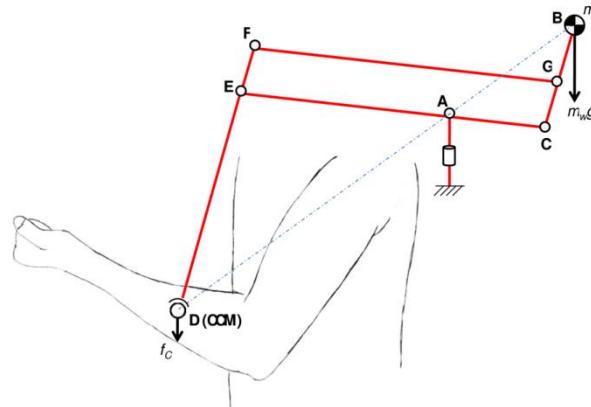


# Robot-aided motor recovery after stroke



# Robotic interfaces for neuro-rehabilitation

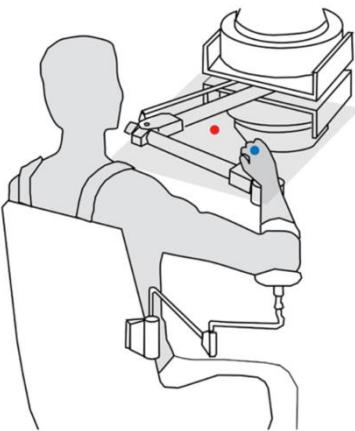
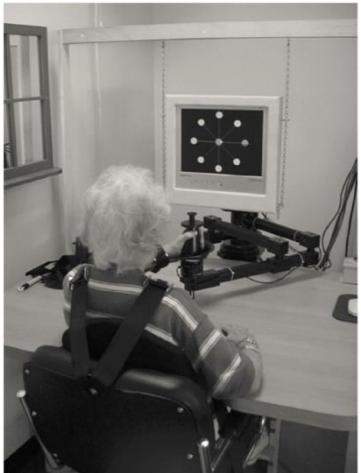
## Passive Devices



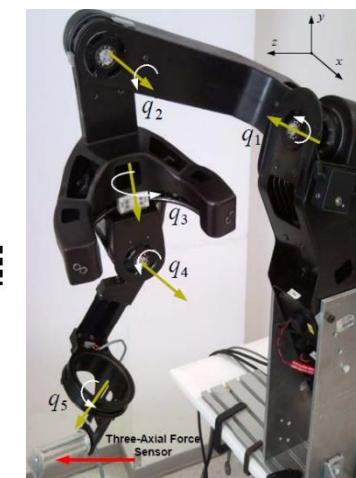
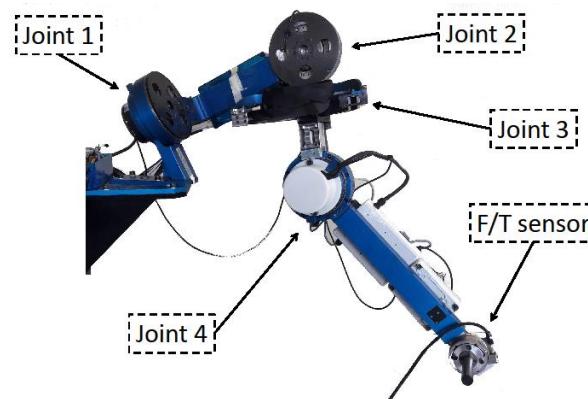
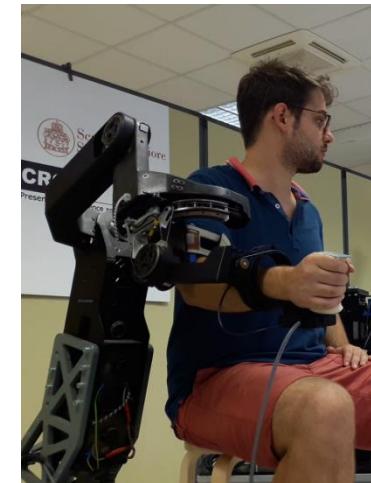
# Robotic interfaces for neuro-rehabilitation

## Active Devices for the arm

### Manipulandum

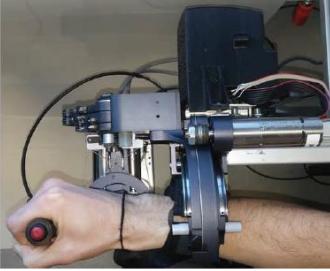
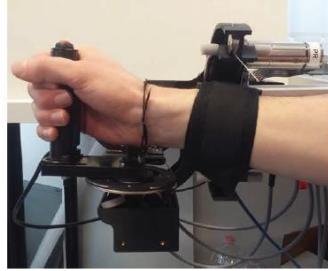
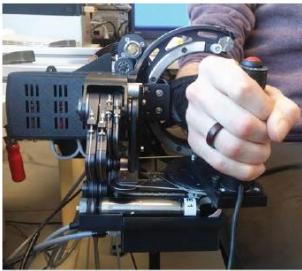
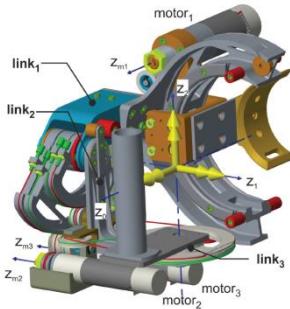


### Exoskeletons

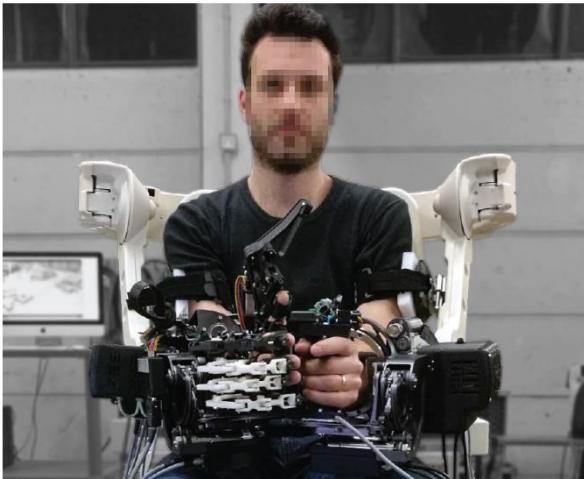
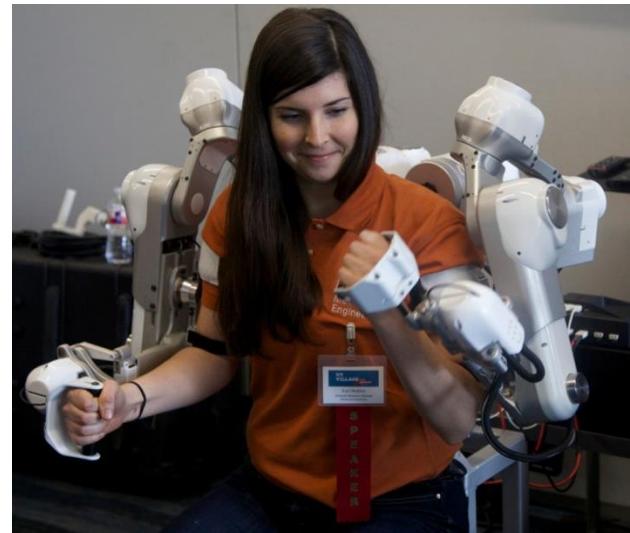
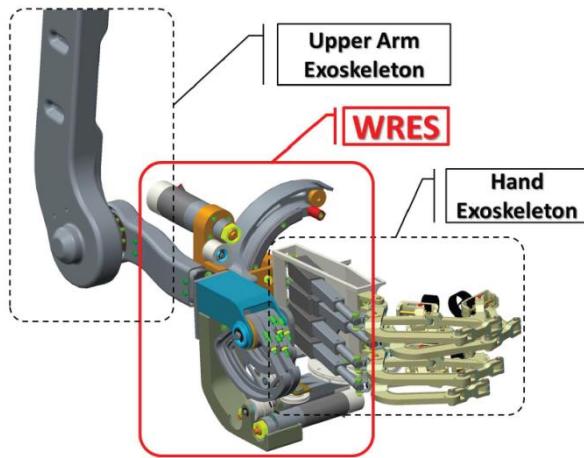


# Robotic interfaces for neuro-rehabilitation

## Active Devices for the wrist and the hand



# Robotic interfaces for neuro-rehabilitation



# Neuro-Rehabilitation after stroke: motivation

- Several factors play a role in the process of **robot-aided** motor recovery after stroke
  - **[Task oriented training]**: movement training is associated to a task
  - **[Degree of participation]**: functional recovery requires active movement from the subject to elicit motor learning
  - **[Intensity of practice]**: Robot therapy can allow repetitive and high intensity movements

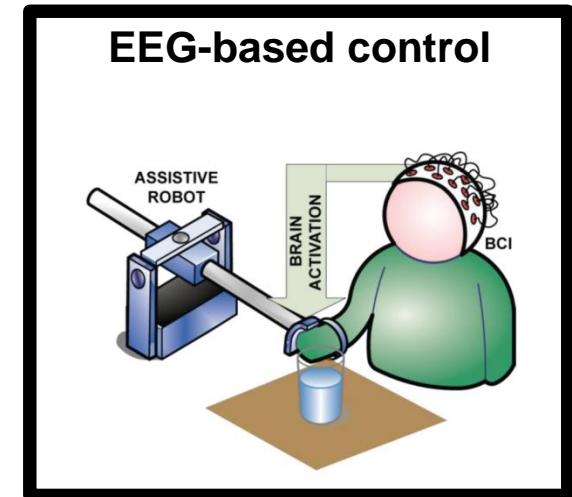
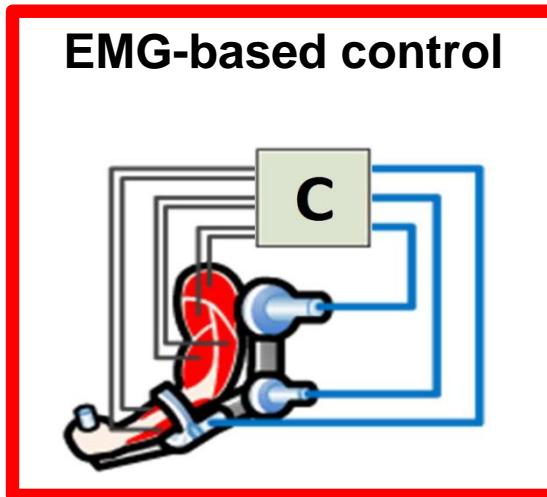
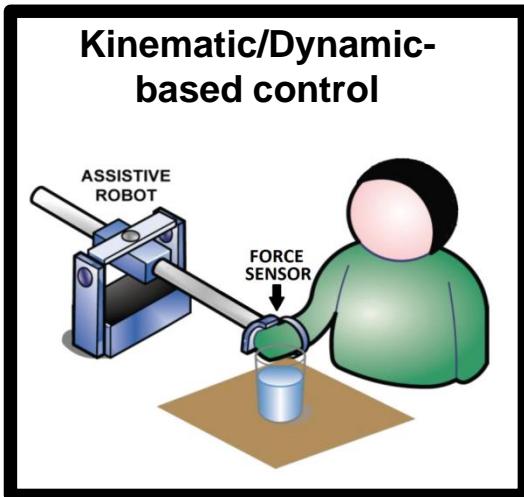


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# Neuro-Rehabilitation after stroke: motivation

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  - **[Task oriented training]**: movement training is associated to a task
  - **[Degree of participation]**: functional recovery requires active movement from the subject to elicit motor learning
  - **[Intensity of practice]**: Robot therapy can allow repetitive and high intensity movements
- Robotic assistance should be provided to patient based on the detection of intentional movement from patient.
- There are several approaches for movement intention detection and trigger the assistance accordingly, e.g. EEG signals, force/velocity and EMG signals.



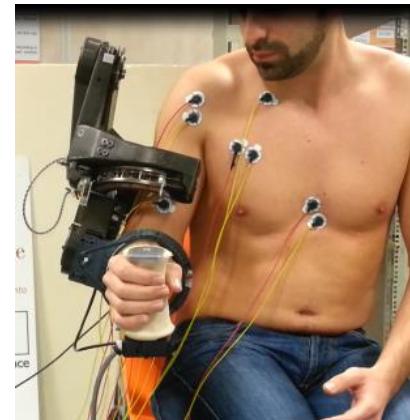
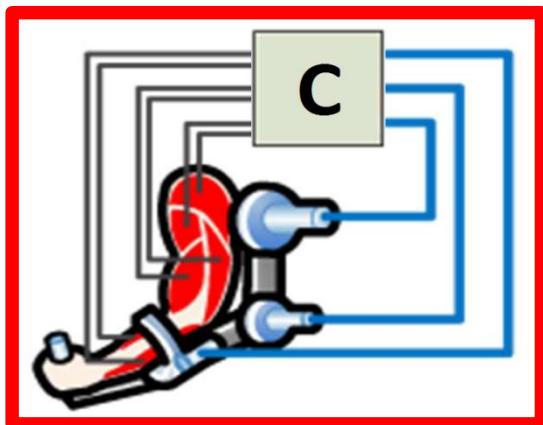
# EMG-based robotic assistance in neuro-rehabilitation

## ■ Pros

- Possibility to analyze the **contribution of each single muscle**, not only the resultant force;
- Neuro-impaired patients with **residual muscle activities** could trigger robot assistance;
- Actual movement attempt can be discriminated **avoiding compensation behaviors** (e.g., engaging their trunk to generate movements to exceed a speed/force threshold)
- Processed EMG signals could be used to **assess the outcomes** of the rehabilitation therapy

## ■ Cons

- Complexity of muscle activation signal analysis due to:
  - **Redundancy** of actuation
  - **Cross-talking** in EMG muscle recording
  - **Non-linearity** of EMG-muscle force relationship
  - **Subject-dependency**



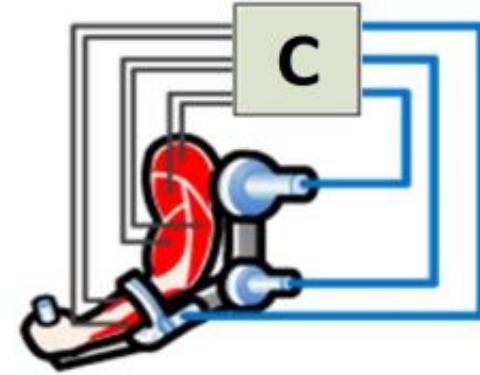
# Main sEMG-based Myocontrol CLASSIFICATION

- ***Trigger-based***
- ***Continuous***

A predefined motion of the assistive device is starts when the sEMG signal of one or more muscles is above a certain threshold.

The motion of the assistive device is continuously modulated by the sEMG level signal of one or more muscles.





# Upper Limb Exoskeleton Myoelectric control

Towards continuous EMG control: the role  
of Neuromusculoskeletal models



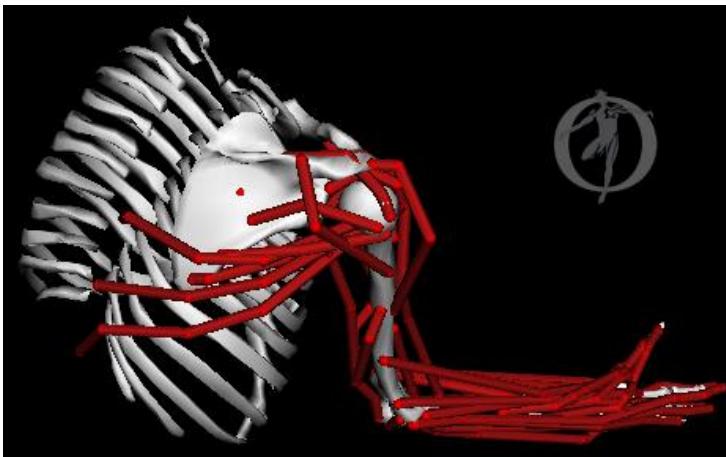
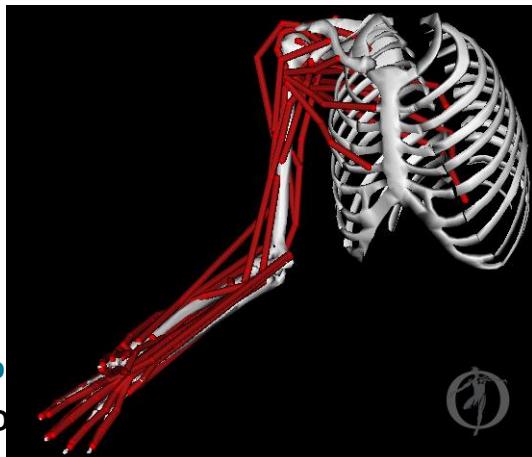
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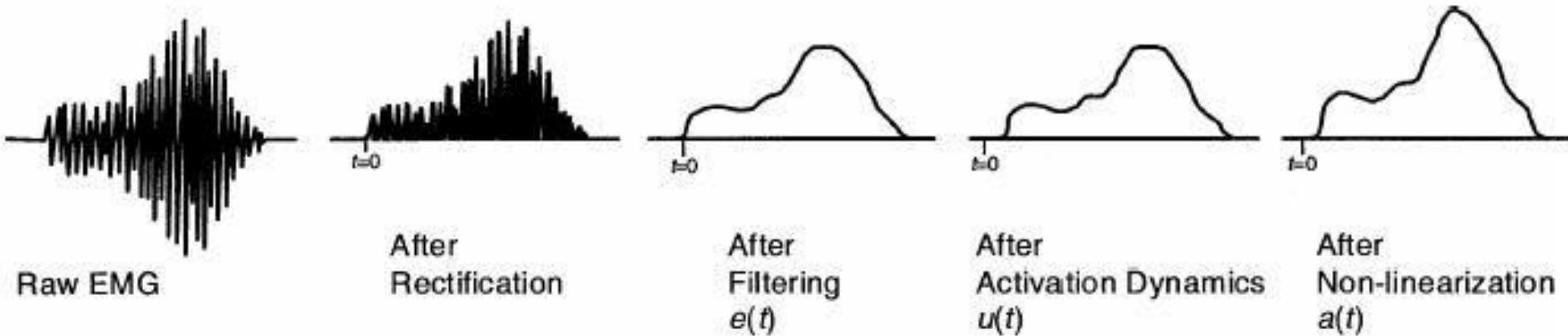
[D. Buongiorno et al. 2015, 2016a]

# sEMG driven - Neuromusculoskeletal (NMS) Model

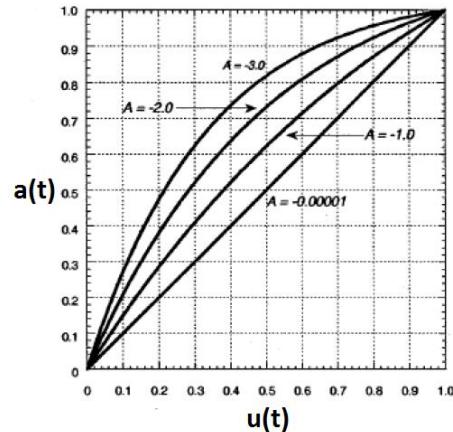
- A sEMG-driven NMS model is able to describe the process of articulation torque generation by using the knowledge of the:
  - Level of muscle activations (estimated from sEMG signals)
  - Muscle properties
  - Muscleskeletal system geometry



# From sEMG signal to Muscle Activation



$$u(t) = \alpha e(t - d) - \beta_1 u(t - 1) - \beta_2 u(t - 2)$$

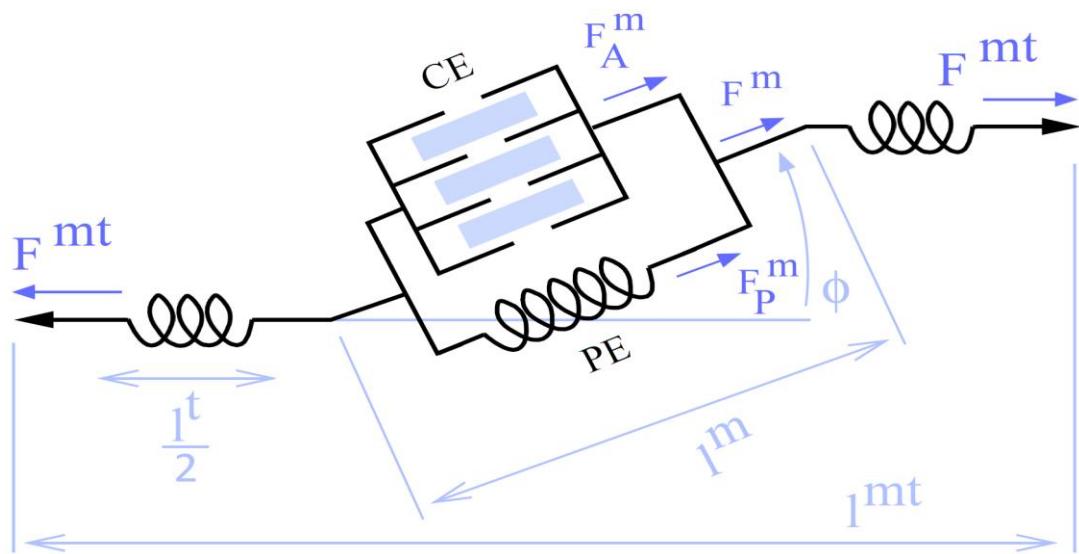


$$a(t) = \frac{e^{Au(t)} - 1}{e^A - 1} \quad \text{with} \quad -3 \leq A < 0$$

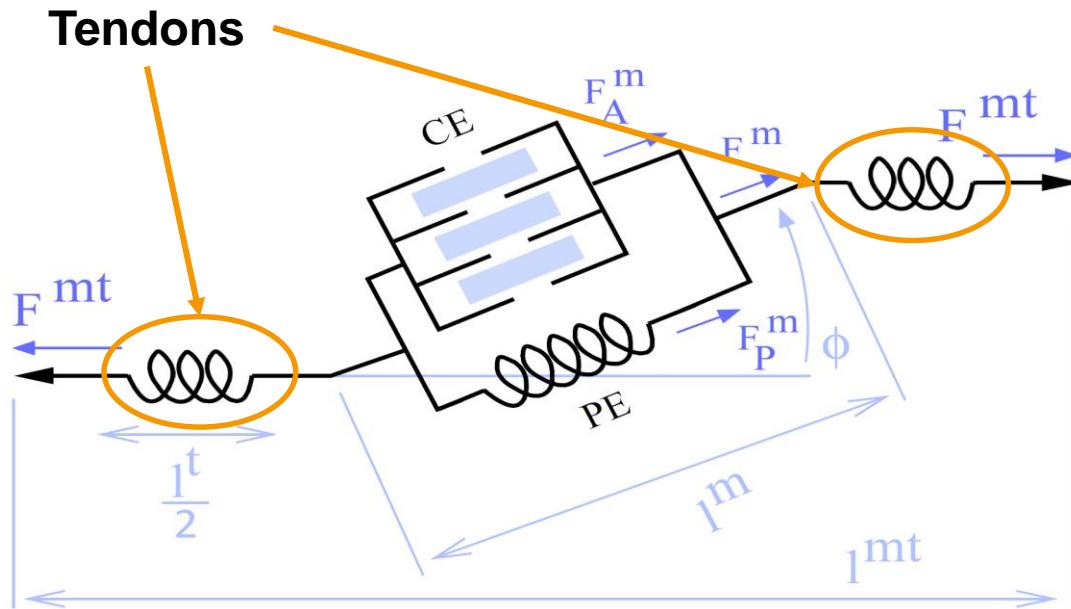
[Lloyd & Besier, 2003; Lloyd & Buchanan, 1996]



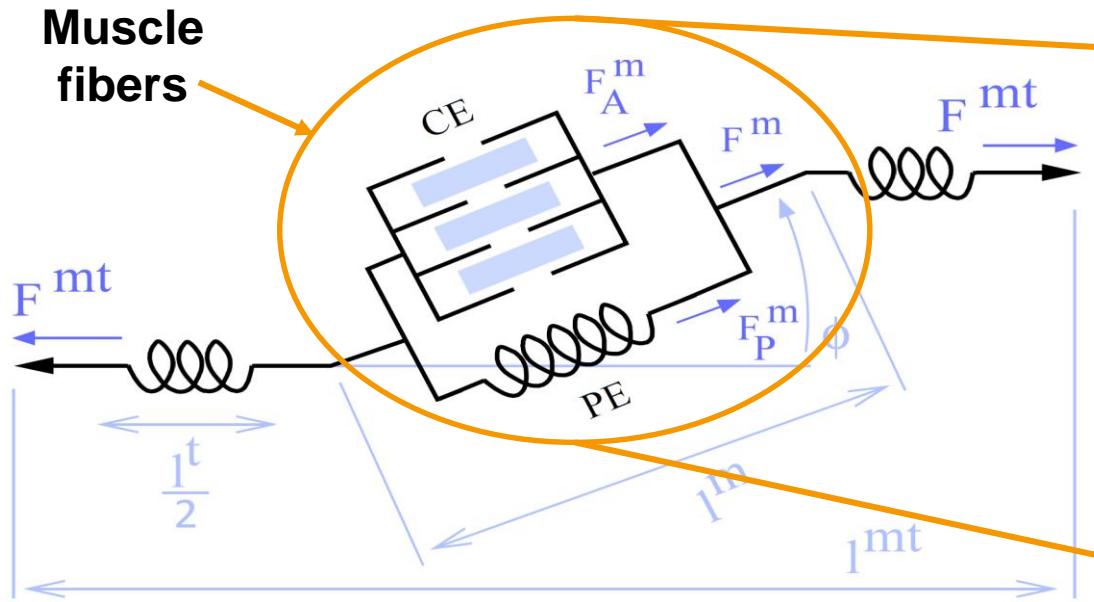
# The Hill-Type muscle model



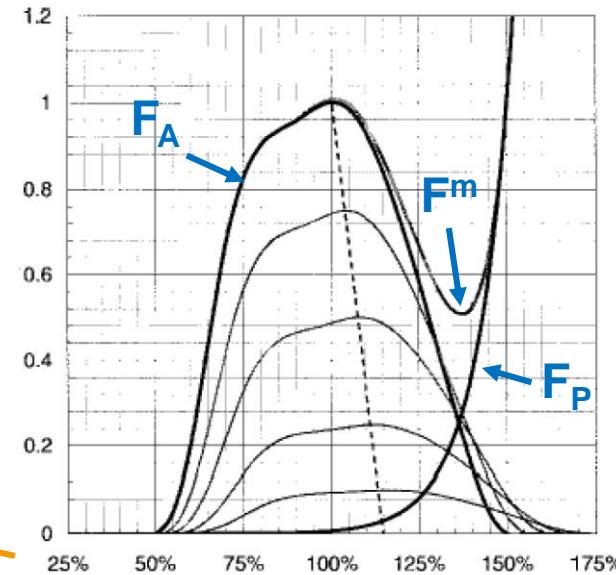
# The Hill-Type muscle model



# The Hill-Type muscle model



[Buchanan et al. 2004]



## Muscle-Tendon Force

$$F_i^{mt}(t) = F_i^{MAX} [f_l(l_i^m) f_v(v_i^m) a_i(t) + f_p(l_i^m)] \cos(\phi_i(t))$$



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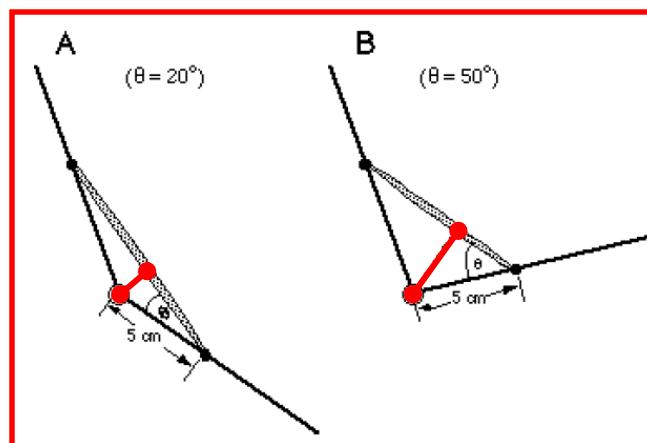
# Articulation Torque

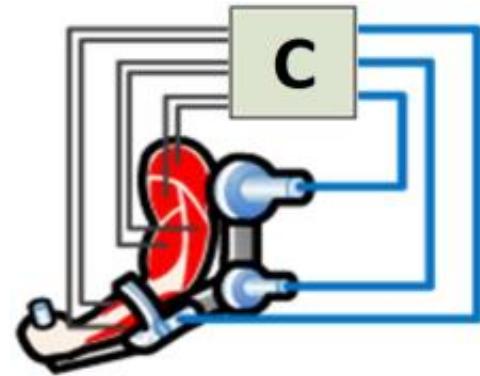
Joint Torque component generated by the single muscle

$$\tau_i^A = F_i^{mt} \cdot r_i^A(\theta^A)$$

Net Articulation Torque     $\tau^A = \sum_{i=1}^N \tau_i^A$

Moment Arm  
 $r(\theta)$





# Non-linear Optimization Approach with a Genetic Algorithm



# Single muscle-model parameter optimization

Parameter	Description	Optimized
<i>Single Variables per muscle/articulation</i>		
(1) $x$	electromechanical delay	-
(2) $A$	non-linearity factor	✓
(3) $l_O^m$	optimal fibers length	✓
(4) $\phi_o$	pennation angle	-
(5) $F_O^m$	maximum isometric force	✓
<i>Relationships per muscle/articulation</i>		
(6) $l^m(\theta)$	fibers-length/articulation-angle	✓
(7) $f_A(\tilde{l})$	normalized active-force/fiber-length	✓
(8) $f_P(\tilde{l})$	normalized passive-force/fiber-length	-
(9) $ma(\theta)$	moment-arm/articulation-angle	✓



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# Muscle-model parameters optimization

Parameter	Description	Optimized
<i>Single Variables per muscle/articulation</i>		
(1) $x$	electromechanical delay	-
(2) $A$	non-linearity factor	✓
(3) $l_O^m$	optimal fibers length	✓
(4) $\phi_o$	pennation angle	-
(5) $F_O^m$	maximum isometric force	✓
<i>Relationships per muscle/articulation</i>		
(6) $l^m(\theta)$	fibers-length/articulation-angle	✓
(7) $f_A(\tilde{l})$	normalized active-force/fiber-length	✓
(8) $f_P(\tilde{l})$	normalized passive-force/fiber-length	-
(9) $ma(\theta)$	moment-arm/articulation-angle	✓

Optimized  
subset of  
parameters



# Muscle-model parameters optimization

Parameter	Description	Optimized
<i>Single Variables per muscle/articulation</i>		
(1) $x$	electromechanical delay	-
(2) $A$	non-linearity factor	✓
(3) $l_O^m$	optimal fibers length	✓
(4) $\phi_o$	pennation angle	-
(5) $F_O^m$	maximum isometric force	✓
<i>Relationships per muscle/articulation</i>		
(6) $l^m(\theta)$	fibers-length/articulation-angle	✓
(7) $f_A(\tilde{l})$	normalized active-force/fiber-length	✓
(8) $f_P(\tilde{l})$	normalized passive-force/fiber-length	-
(9) $ma(\theta)$	moment-arm/articulation-angle	✓

Optimized  
subset of  
parameters

The optimization procedure make use 2 independent Genetic Algorithms [1]:

for Shoulder and Elbow Joint Torque Predictor

**Fitness  
Function**

$$FF_{\text{joint}} = \sum_t \left| \underbrace{\tau_{\text{joint}}^P(t)}_{\text{Predicted Torque}} - \underbrace{\left( \tau_{\text{joint}}^m(t) + \tau_{\text{joint}}^g(t) \right)}_{\text{Reference Torque}} \right|$$

**Predicted Torque**

**Reference Torque**

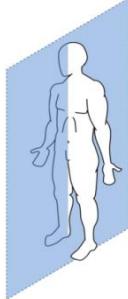
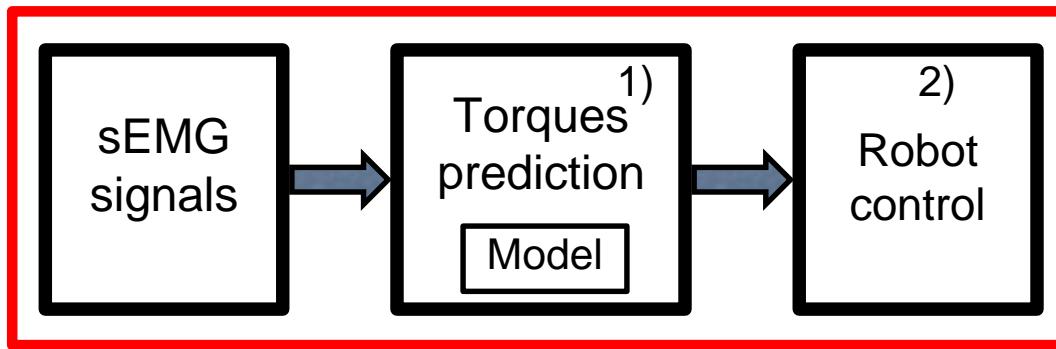
[1] "Genocop III: A co-evolutionary algorithm for numerical optimization problems with nonlinear constraints", Z. Michalewicz et al., 1995.



# Approach Validation: Experimental Objectives

- To develop a myoelectric control that use a **reduced number of muscles**
  - Model-based** prediction of the shoulder and elbow joint torques by means of surface ElectroMyoGraphic (sEMG) signals
  - Control an upper limb exoskeleton** (along the pseudo-sagittal plane) using the predicted torques.

Sagittal Plane



**Shoulder Joint  
(flexion/extension)**

**Elbow Joint**

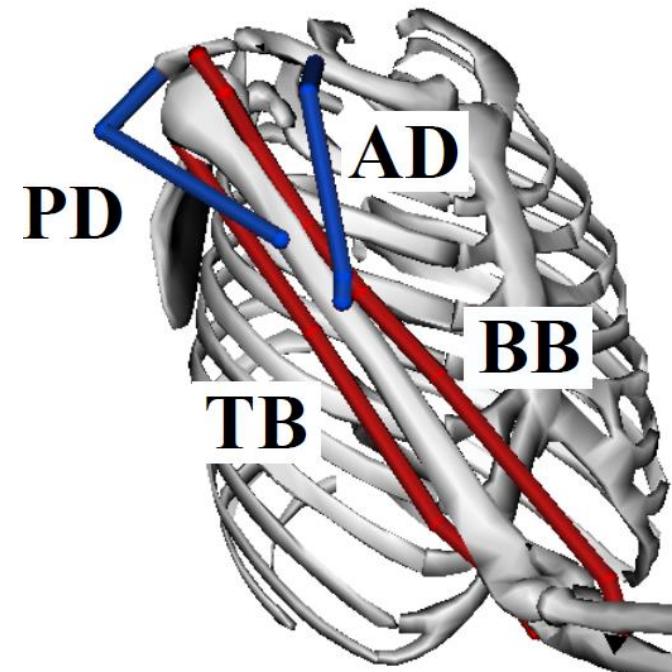


# The sEMG Acquisition Preprocessing Subsystem

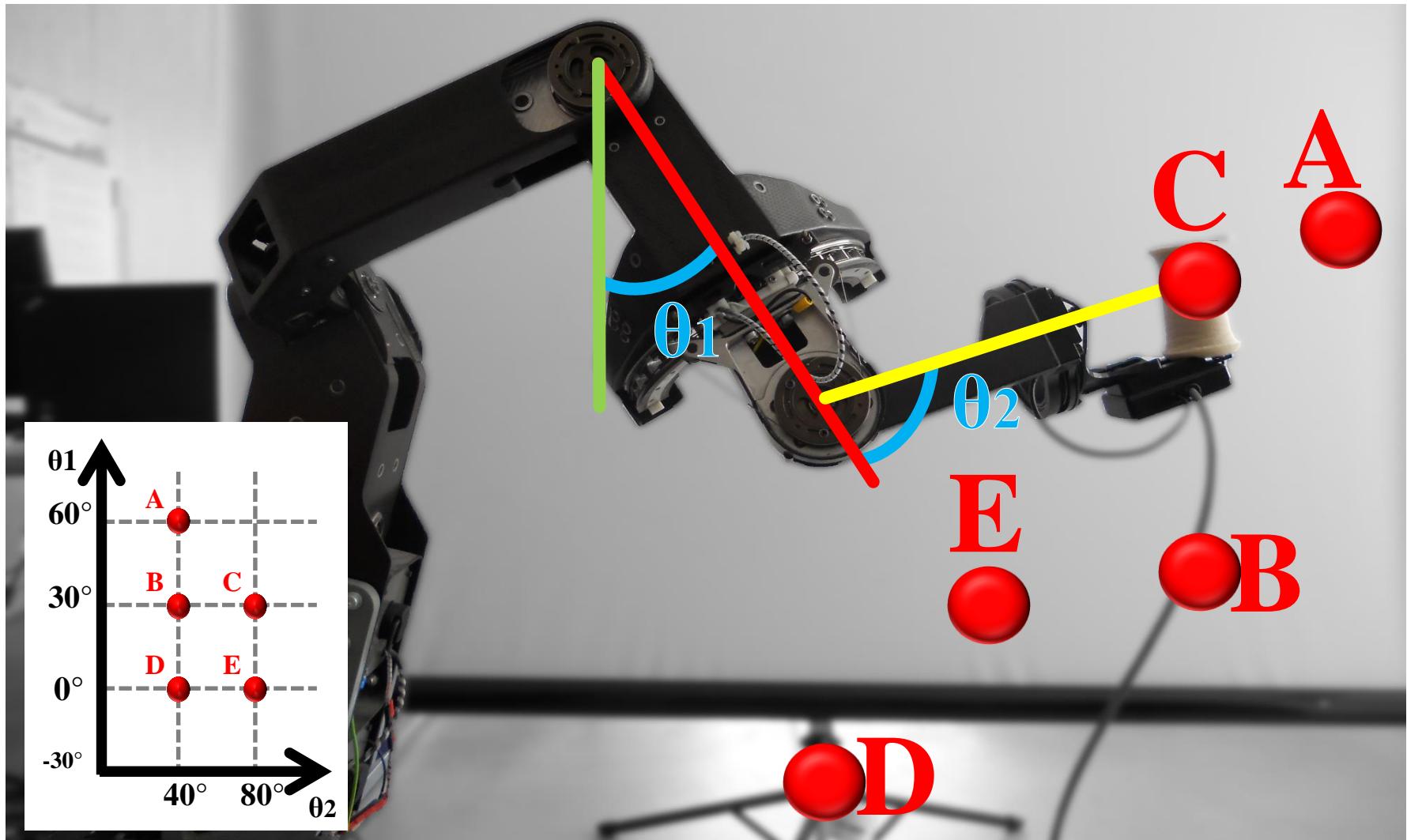
The surface ElectroMyoGraphic (sEMG) signals are recorded following SENIAM recommendations. [H. J. Hermens et al., 1999]

Shoulder Muscles { AD: Anterior head of Deltoid  
PD: Posterior head of Deltoid

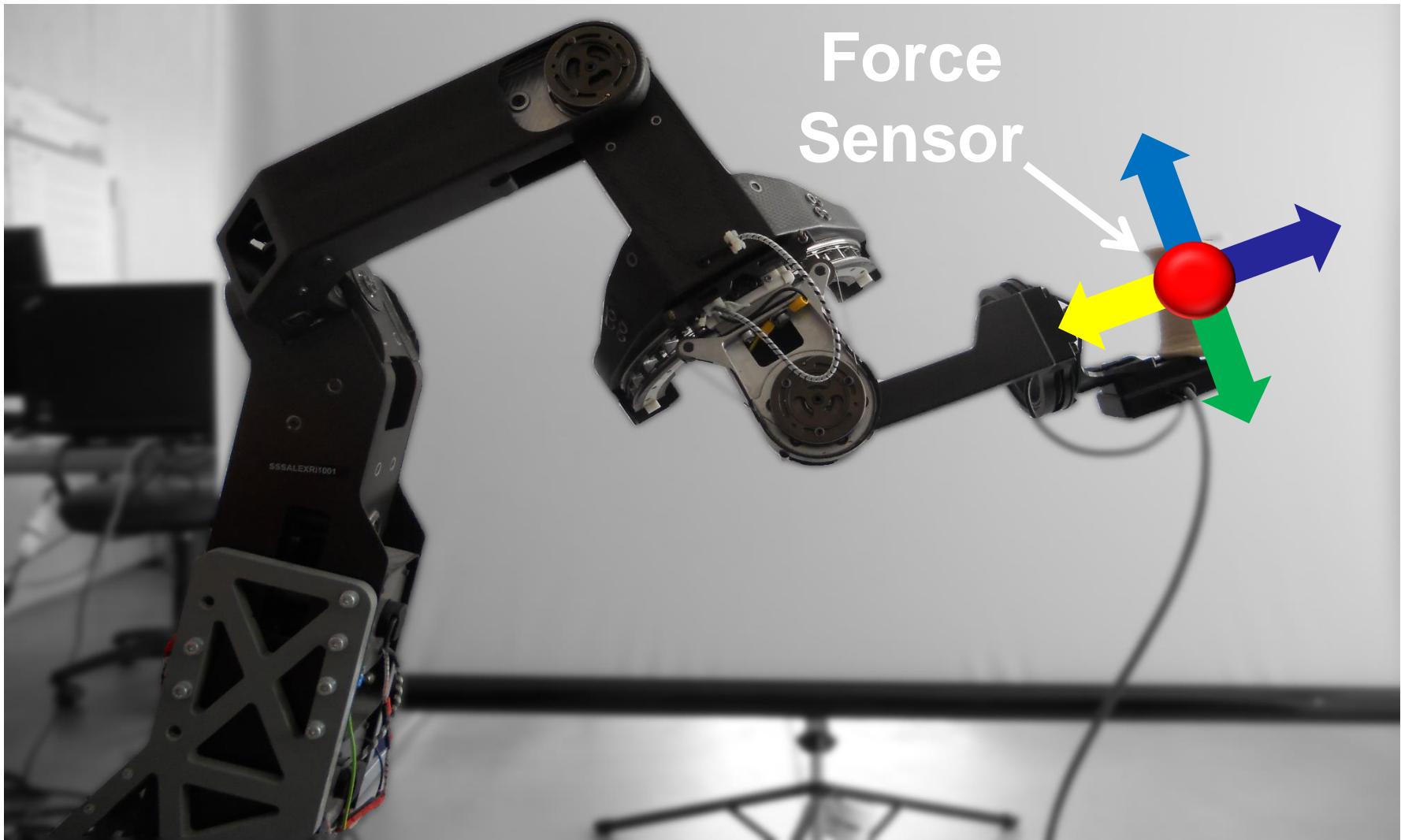
Elbow Muscles { BB: long head of Biceps Brachial  
TB: long head of Triceps Brachial



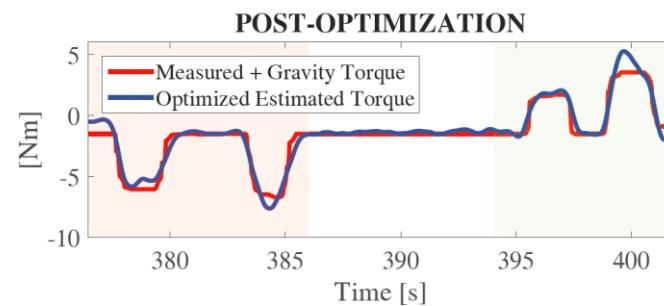
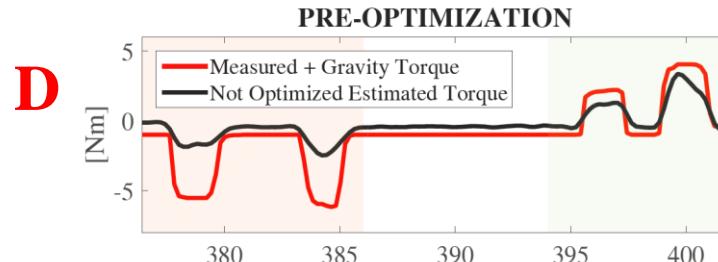
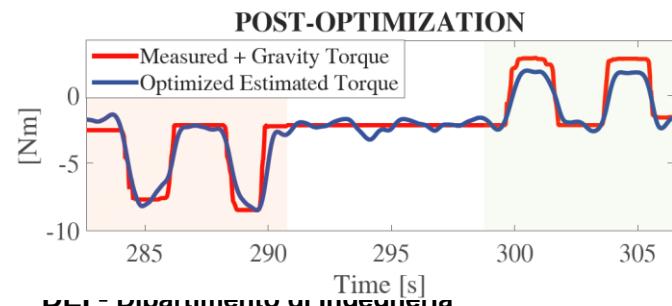
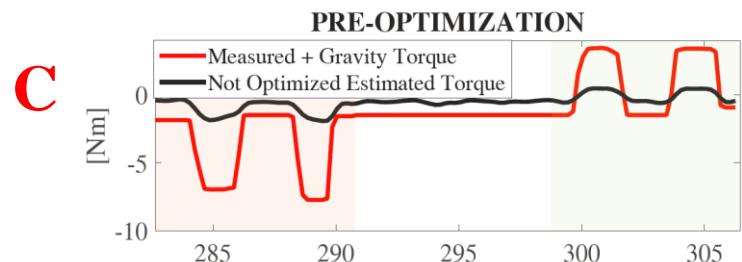
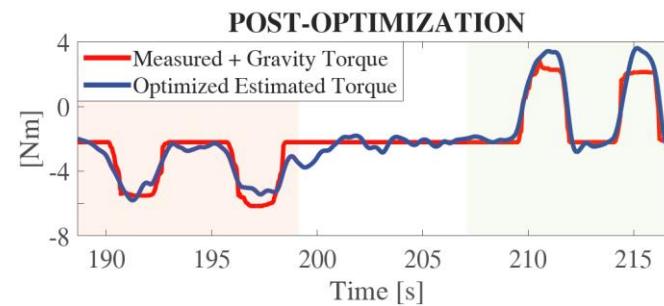
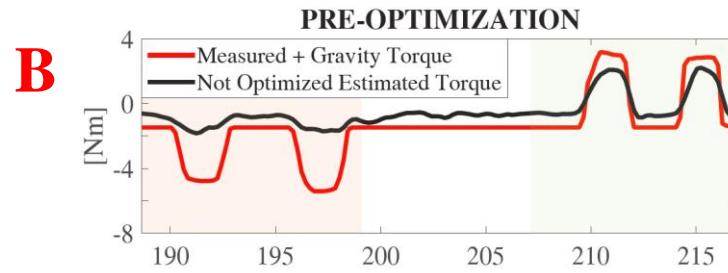
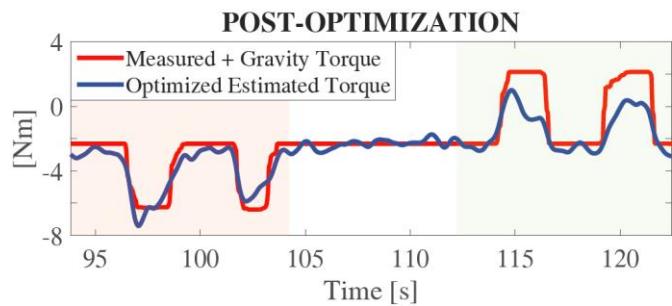
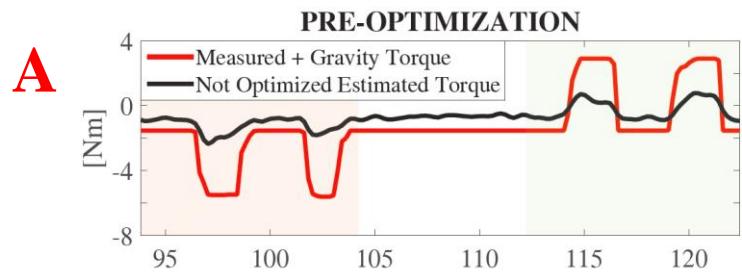
# Data Collection



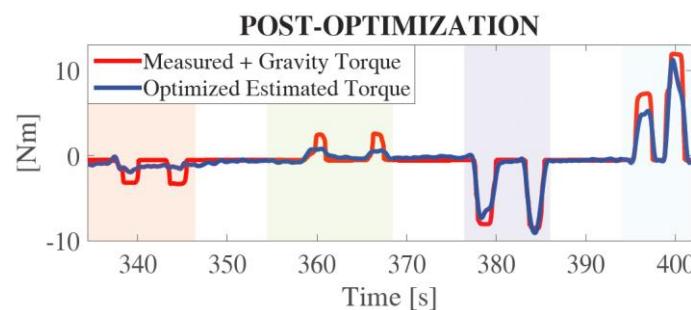
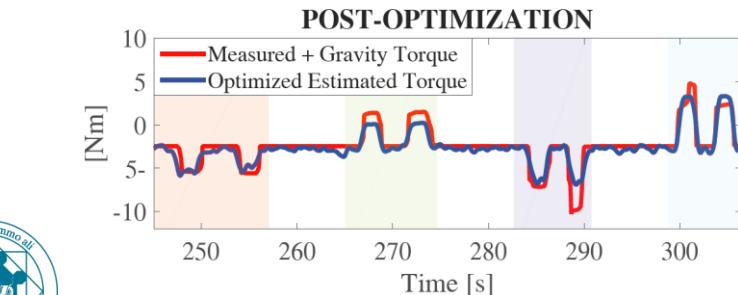
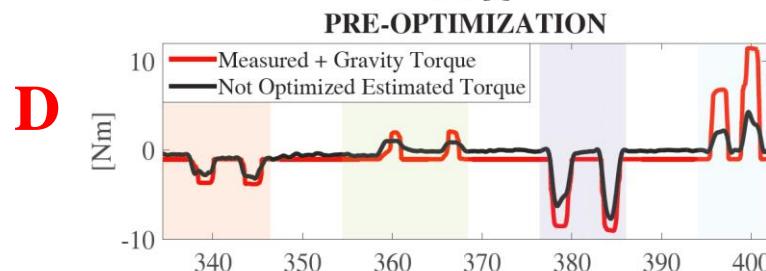
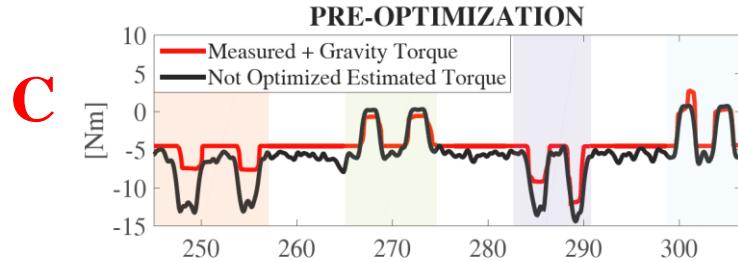
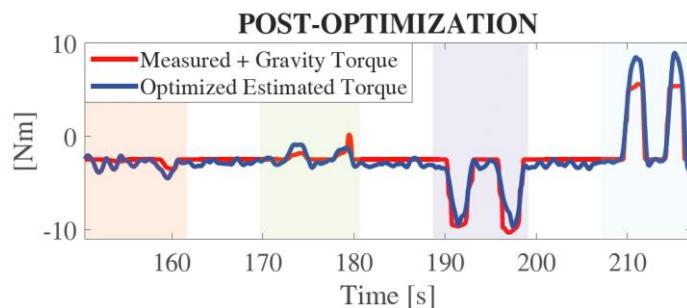
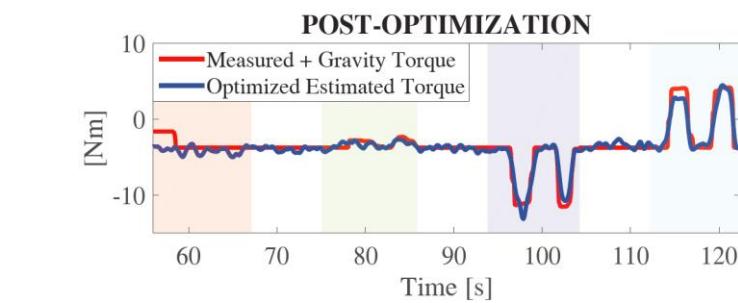
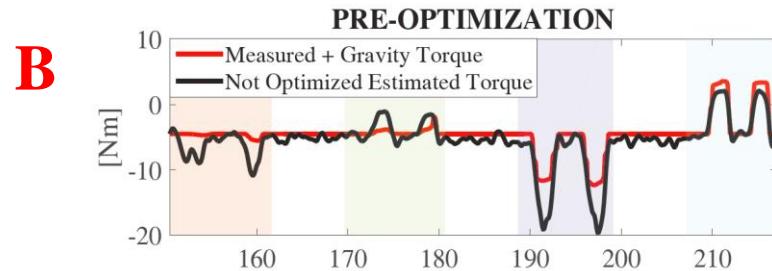
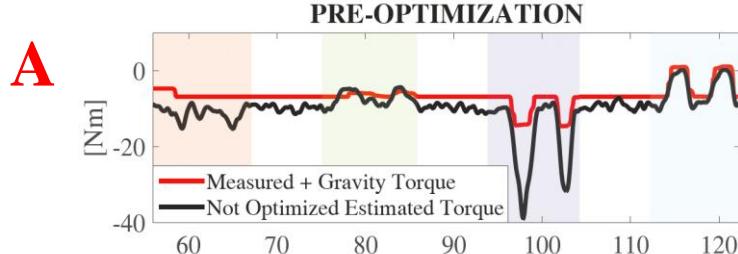
# Data Collection



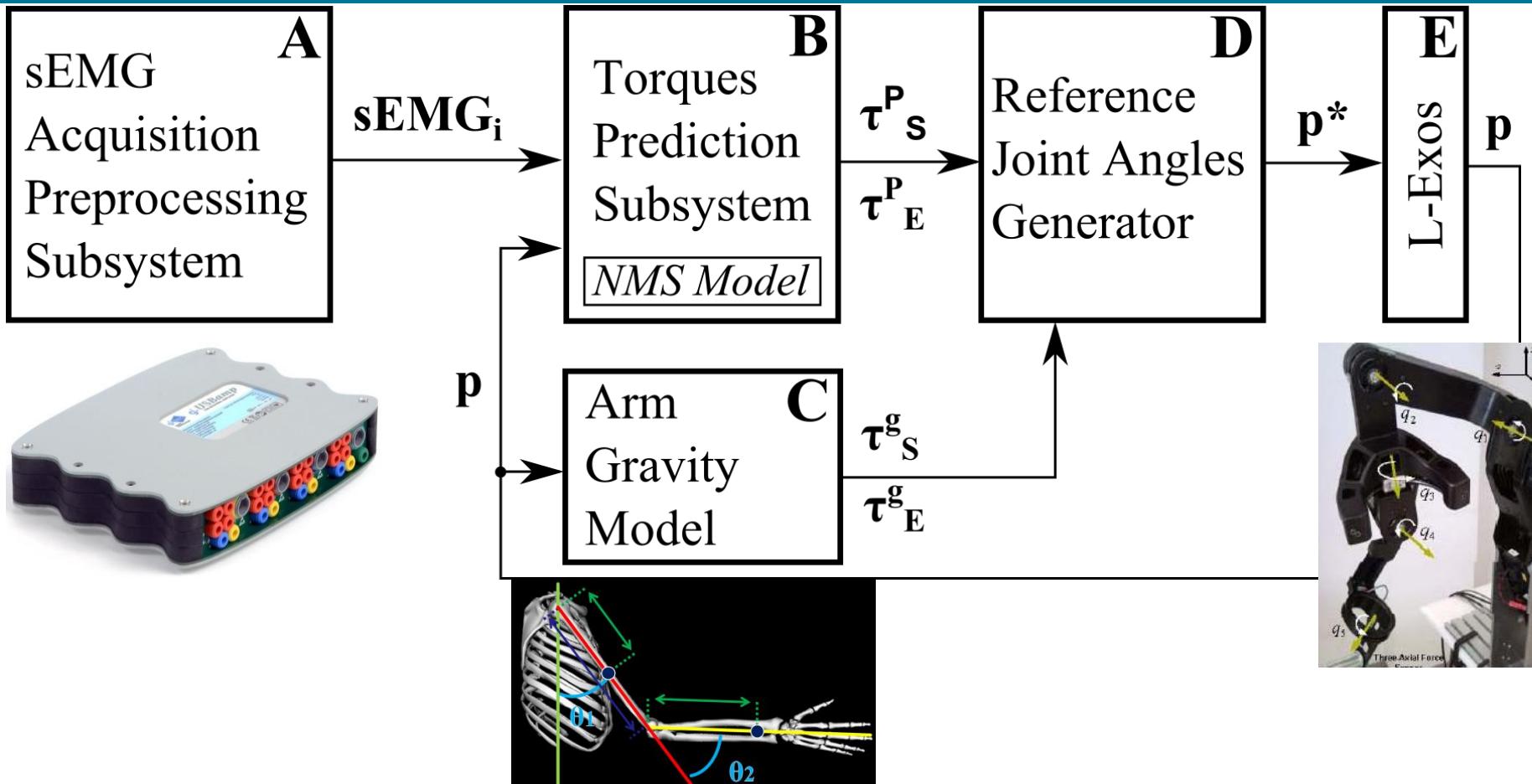
# Elbow Model Performance



# Shoulder Model Performance



# Myoelectric Control Diagram



**NMS Model: NeuroMusculoSkeletal Model**

$\tau^P_{S(\text{or } E)}$ : Predicted torques at shoulder and at elbow  
 $\tau^g_{S(\text{or } E)}$ : Gravity torques at shoulder and elbow  
 $p$ : Current pose of the exoskeleton  
 $p^*$ : Desired pose of the exoskeleton



# Reference Joint Angles Generator

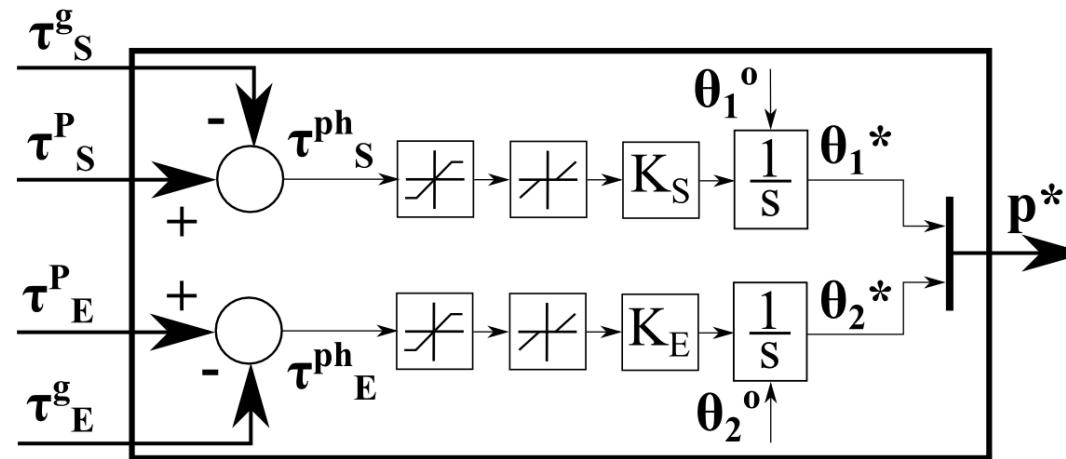
$$\tau_{\text{joint}}^P = \tau_{\text{joint}}^g + \tau_{\text{joint}}^{ph}$$

Predicted torque

Tonic component  
(Gravity torque)

Phasic component

The subject supports his arm during the experiments



$\tau_{S(\text{or } E)}^{\text{ph}}$ : Phasic torques

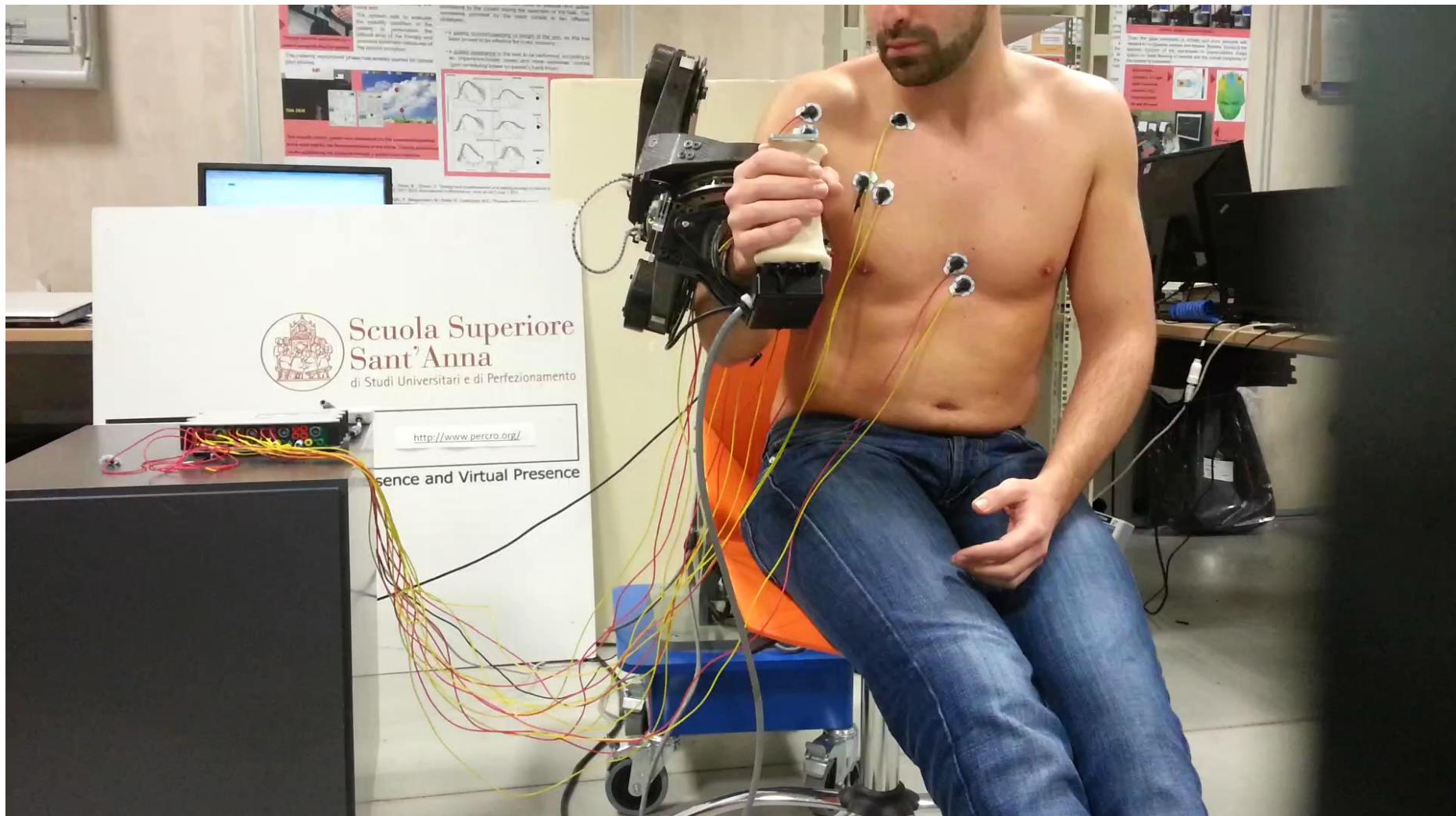
$\tau_{S(\text{or } E)}^P$ : Predicted torques

$\tau_{S(\text{or } E)}^g$ : Gravity torques

$p^*$ : Desired pose of the exoskeleton



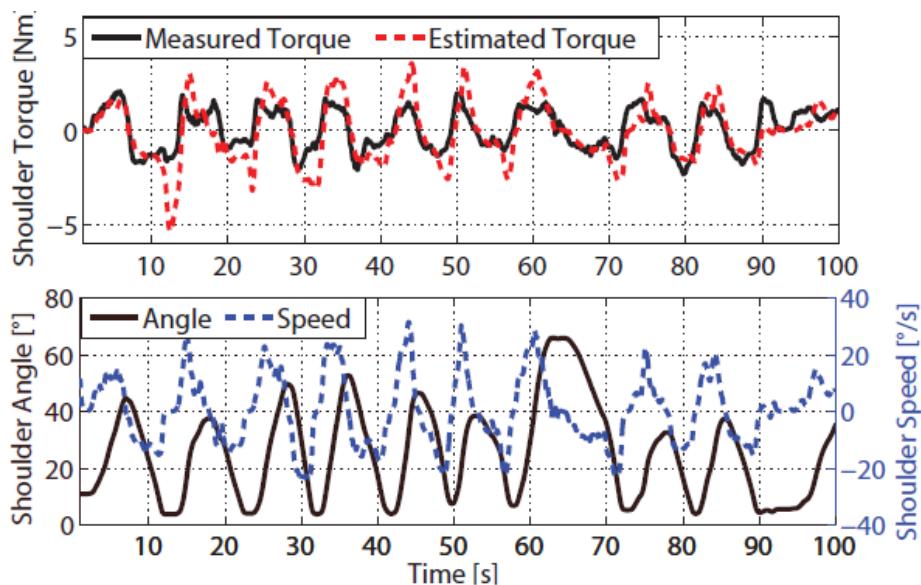
# EMG-based control



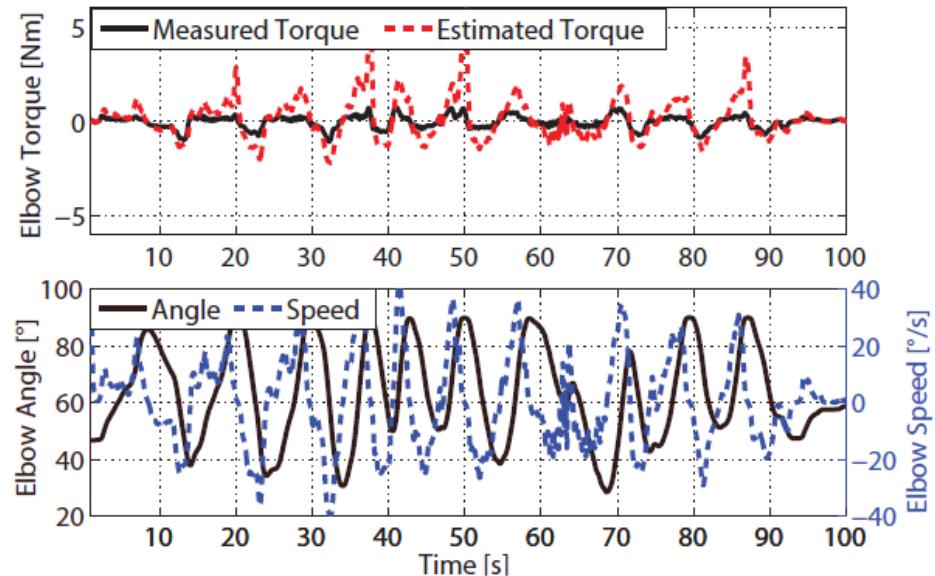
# Validation experiment and results

Transparency analysis performing free movements along the pseudo-sagittal plane.

## SHOULDER Joint



## ELBOW Joint



# thank you!

email: [domenico.buongiorno@poliba.it](mailto:domenico.buongiorno@poliba.it)  
[vitoantonio.bevilacqua@poliba.it](mailto:vitoantonio.bevilacqua@poliba.it)